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APPLICATION OF MAJORIZATION THEORY TO GAUSSIAN QUANTUM INFORMATION

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9**015**

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Outline

Majorization theory (introduction)

- ... provide a means to compare probability distributions
- ... extension to quantum information theory (esp. entanglement)
- Majorization in continuous-variable quantum information

 interplay between phase- and state-space representations
 Wigner functions and symplectic formalism are well suited
 to describe optical states and transformations,
 but majorization requires diagonalization of states !





Selected applications to Gaussian quantum information

1 ... intrinsic majorization relations in fundamental Gaussian optical components (beam-splitters & squeezers)

[with O. Oreshkov, C. Navarrete-Benlloch, S. Lloyd and J. H. Shapiro]

- 2 ... interconversion between Gaussian entangled states (may require non-Gaussian LOCCs)
- 3 ... Gaussian bosonic channels, esp. entropy conjectures (applications to channel capacities)

[with V. Giovannetti and A. S. Holevo]

Majorization Theory



(pre)-order relation for probability distributions



with p_n, q_n probability distributions

if and only if

 \rightarrow **p** can be converted to **q** by applying a random permutation $q_n = \sum_m D_{n,m} p_m$ $D_{n,m}$ is doubly-stochastic matrix **p** is "<u>more ordered</u>" than **q** or $\sum_{n=0}^{m} p_n^{\downarrow} \geq \sum_{n=0}^{m} q_n^{\downarrow} \qquad \forall m \geq 0$ $\sum_{n} f(p_{n}) \leq \sum_{n} f(q_{n}) \quad \forall f(x) \text{ concave function}$ or e.g., Shannon entropy: $f(x) = -x \log(x)$ $\mathbf{p} \succ \mathbf{q} \implies H(\mathbf{p}) \leq H(\mathbf{q})$ Shannon entropy can only <u>increase</u>

Majorization vs. Renyi entropies

$$H_{\alpha}(\mathbf{p}) = \frac{1}{1-\alpha} \log \sum_{n} p_{n}^{\alpha}$$

use $f_{\alpha}(x) = x^{\alpha}$ $F_{\alpha}(\mathbf{p}) = \sum_{n} f_{\alpha}(p_{n})$

$$p_n$$
 q_n

•
$$0 < \alpha < 1$$
 $f_{\alpha}(x) = x^{\alpha}$ concave function
 $F_{\alpha}(\mathbf{p}) \leq F_{\alpha}(\mathbf{q}) \longrightarrow H_{\alpha}(\mathbf{p}) \leq H_{\alpha}(\mathbf{q})$

•
$$\alpha > 1$$
 $f_{\alpha}(x) = x^{\alpha}$ convex function
 $F_{\alpha}(\mathbf{p}) \ge F_{\alpha}(\mathbf{q}) \longrightarrow H_{\alpha}(\mathbf{p}) \le H_{\alpha}(\mathbf{q})$

 $\mathbf{p} \succ \mathbf{q} \implies H_{\alpha}(\mathbf{p}) \leq H_{\alpha}(\mathbf{q}) \quad \forall \alpha$

all Renyi entropies increase



catalysis effects may be observed !

Quantum application : comparing density operators



M is a "*disordering*" CP map (convex mixture of unitaries)

$$\hat{\rho} \succ \hat{\sigma} \quad \iff \quad \hat{\sigma} = \sum_{i} \lambda_{i} U_{i} \hat{\rho} U_{i}^{\dagger} \qquad \qquad 0 \leq \lambda_{i} \leq 1 \qquad \sum_{i} \lambda_{i} = 1$$

 $\bullet~\hat{\rho}~$ can be transformed into $\,\hat{\sigma}~$ by applying a random unitary

• $S(\rho) \leq S(\sigma)$ entropy can only <u>increase</u>

Quantum application : interconversion of pure bipartite states



where
$$|\psi\rangle = \sum_{n} \sqrt{p_n} |e_n\rangle |f_n\rangle$$

 $|\phi\rangle = \sum_{n} \sqrt{q_n} |e_n'\rangle |f_n'\rangle$

• $|\Phi\rangle$ can be converted to $|\Psi\rangle$ by applying a deterministic LOCC

• $E(|\psi\rangle) \le E(|\phi\rangle)$ entanglement can only <u>decrease</u>

Trick:
$$\rho_A = tr_B(|\psi\rangle\langle\psi|) = \sum_n p_n |e_n\rangle\langle e_n|$$
 eigenbasis representation
orthonormal
 $= \sum_n q_n |\zeta_n\rangle\langle\zeta_n|$... possible iff $\mathbf{p} \succ \mathbf{q}$
not orthonormal

<u>Trick</u> : unitary freedom in ensemble realizing a density operator

then
$$q_i \langle \zeta_i | \zeta_i \rangle = \sum_{j,k} U_{i,j} U_{i,k}^* \sqrt{p_j} \sqrt{p_k} \langle e_k | e_j \rangle$$

1 $\delta_{j,k}$
then $q_i = \sum_j |U_{i,j}|^2 p_j$
 $D_{i,j}$ doubly stochastic
 p_n majorizes q_n
 $\mathbf{p} \succ \mathbf{q}$



$$\begin{split} |\psi\rangle = \sum_{n} \sqrt{q_{n}} |\xi_{n}\rangle |f_{n}''\rangle & \text{above trick, provided } p_{n} \text{ majorizes } q_{n} \\ \text{LOCC} \downarrow \downarrow \cup \\ |\phi\rangle = \sum_{n} \sqrt{q_{n}} |e_{n}'\rangle |f_{n}'\rangle \end{split} \text{above trick, provided } p_{n} \text{ majorizes } q_{n} \\ \text{that is } |\psi\rangle \succ |\phi\rangle$$

POVM:
$$A_m = \sum_n \omega^{nm} |\zeta_n\rangle \langle e_n'|$$
 with $\omega = e^{i2\pi/d}$ and $\sum_m A_m^+ A_m = I$
 $(A_m \times I) |\phi\rangle = \sum_n \sqrt{q_n} \omega^{nm} |\zeta_n\rangle |f_n'\rangle \equiv |\phi_m\rangle$ depends on outcome m

Conditional U: $B_m = \sum_n \omega^{-nm} |f_n''\rangle \langle f_n'|$ conditional on m $(I \times B_m) |\phi_m\rangle = \sum_n \sqrt{q_n} |\zeta_n\rangle |f_n''\rangle \equiv |\psi\rangle$ deterministic LOCC

Other quantum applications

Majorization-based separability criterion

$$\hat{\rho}_{AB}$$
 separable $\implies \hat{\rho}_A \otimes \hat{0} \succ \hat{\rho}_{AB}$ and $\hat{0} \otimes \hat{\rho}_B \succ \hat{\rho}_{AB}$
more disordered
globally than locally M . Nielsen, J. Kempe, 2001
T. Hiroshima, 2003

... yields a necessary condition for separability hence, a sufficient condition for entanglement

... stronger than entropic condition

 $S(\hat{\rho}_A) \le S(\hat{\rho}_{AB})$ and $S(\hat{\rho}_B) \le S(\hat{\rho}_{AB})$ Horodecki's, 1996 Cerf, Adami, 1997

Majorization-based uncertainty relations

 $p \otimes q \prec Q$

Puchala, Rudnicki, Życzkowski, 2013

... implies entropic uncertainty relations $H(p)+H(q) \ge B$

Using majorization theory in quantum optics ?

- Possible in infinite-dimensional (countable) Fock space
 ... majorization theory remains applicable for infinite prob. distr.
 ... infinite matrices (D stochastic, D^T sub-stochastic)
- Hard problem because phase-space vs. state-space interplay
 ... optical states / transformations in <u>phase space</u>
 ... channels / entropies / majorization in <u>state space</u>
- First application of majorization theory in quantum optics : ... attacking Gaussian minimum entropy conjectures (capacities of Gaussian bosonic channels)

R. Garcia-Patron, C. Navarrete-Benlloch, S. Lloyd, J. H. Shapiro, and N. J. Cerf, PRL (2012).

Motivation / example

Two entropy conjectures in which majorization does (or might) play a key role



(thermal state with same entropy as ρ)

Two fundamental (Gaussian) optical components

1



(Linear) canonical transformations in phase space



BS & TMS examined under majorization theory



Majorization relations on states $|\Psi
angle_{ab}$

for Fock state inputs $|k\rangle \Rightarrow |k+1\rangle$

or for varying t or g

... implies monotonicity of the generated entanglement (or reduced output entropy)

Majorization ladder in a BS

(simplest case)



finite superposition

$$|\Psi^{(k)}\rangle = \sum_{i=0}^{k} \sqrt{p_i^{(k)}} |i\rangle_a |k-i\rangle_b$$
with $p_i^{(k)} = \binom{k}{i} t^{2i} r^{2(k-i)}$

$$p_i^{(k+1)} = \binom{k+1}{i} t^{2i} r^{2(k+1-i)}$$

$$= \left[\binom{k}{i-1} + \binom{k}{i}\right] t^{2i} r^{2(k+1-i)}$$

$$= t^2 p_{i-1}^{(k)} + r^2 p_i^{(k)} \quad \text{with} \quad t^2 + r^2 = 1$$



C. N. Gagatsos, O. Oreshkov, and N. J. Cerf, PRA (2013).

Majorization ladder in a TMS



(related to the capacity

$$\boldsymbol{p}^{(k+1)} = (1-\lambda^2) \begin{pmatrix} 1 & 0 & 0 & \cdots \\ \lambda^2 & 1 & 0 & \cdots \\ \lambda^4 & \lambda^2 & 1 & \cdots \\ \vdots & \vdots & \ddots & \ddots \end{pmatrix}$$

stochastic matrix **D**
ladder of majorization relations
$$\boldsymbol{p}^{(k)} \succ \boldsymbol{p}^{(k)} \succ \boldsymbol{p}^{(k+1)} \\ |\Psi^{(k)}\rangle \succ |\Psi^{(k+1)}\rangle$$



$$E(|\Psi^{(k)}\rangle) \leq E(|\Psi^{(k+1)}\rangle)$$

vacuum state "beats" all other Fock states

R. Garcia-Patron, C. Navarrete-Benlloch, S. Lloyd, J. H. Shapiro, and N. J. Cerf, PRL (2012).





1

$$\begin{aligned} \left| \Psi_{k} \right\rangle &= \sum_{n=0}^{\infty} \sqrt{p_{n}(k)} |n+k\rangle |n\rangle \\ \left| \Psi_{k+1} \right\rangle &= \sum_{n=0}^{\infty} \sqrt{p_{n}(k+1)} |n+k+1\rangle |n\rangle \\ \\ \frac{\infty}{2} \sqrt{(1-\lambda^{2}) p_{n}(k)} |n+k+1\rangle |n\rangle \end{aligned}$$

Alice applies
a POVM
$$\begin{cases} A_{\text{YES}} = \sum_{m=0}^{\infty} \sqrt{\frac{(1-\lambda)p_m(k)}{p_m(k+1)}} & |m+k\rangle\langle m+k+1| \\ A_{NO} = \sum_{m=0}^{\infty} \sqrt{\frac{\lambda^2 p_{m-1}(k+1)}{p_m(k+1)}} & |m+k\rangle\langle m+k+1| \end{cases}$$

$$\begin{split} (A_{\text{YES}} \times 1) \left| \Psi_{k+1} \right\rangle &= \sqrt{(1 - \lambda^2)} \sum_{n=0}^{\infty} \sqrt{p_n(k)} \left| n + k \right\rangle \left| n \right\rangle \\ &= \sqrt{(1 - \lambda^2)} \left| \Psi_k \right\rangle \text{ YES} \\ (A_{NO} \times 1) \left| \Psi_{k+1} \right\rangle &= \sqrt{\lambda^2} \sum_{n=0}^{\infty} \sqrt{p_n(k+1)} \left| n + k + 1 \right\rangle \left| n + 1 \right\rangle \\ &\to \sqrt{\lambda^2} \left| \Psi_{k+1} \right\rangle \text{ NO} \end{split}$$

m=0

If "NO" she communicates it to Bob who applies $U = \sum |m\rangle \langle m+1|$ and then they start a new round again

Parametric majorization relations in a TMS

(as a function of gain)



increasing the gain gives rise to a monotonous increase of entanglement

$$|\Psi^{(k)}(g)
angle$$
 LOCC $|\Psi^{(k)}(g\!+\!\epsilon)
angle$

$$E(|\Psi^{(k)}(g)\rangle) \leq E(|\Psi^{(k)}(g+\epsilon)\rangle)$$

R. Garcia-Patron, C. Navarrete-Benlloch, S. Lloyd, J. H. Shapiro, and N. J. Cerf, PRL (2012).



Parametric majorization relations in a BS

(as a function of transmittance)

$$\begin{vmatrix} k \rangle \stackrel{\hat{a}}{\longrightarrow} t \\ \hat{b} \stackrel{\hat{b}'}{\longrightarrow} \hat{a'} \end{vmatrix} |\Psi^{(k)}(t)\rangle$$

for $0 \le t \le t + \epsilon \le 1/2$

$$p^{(k)}(t) \succ p^{(k)}(t+\epsilon)$$
$$|\Psi^{(k)}(t)\rangle \succ |\Psi^{(k)}(t+\epsilon)\rangle$$

sometimes yes ...

More complicated situation : increasing the transmittance gives rise to a monotonous entanglement increase only in some regions for *t*

$$|\Psi^{(k)}(t)
angle$$
 LOCC $|\Psi^{(k)}(t+\epsilon)
angle$

$$E(|\Psi^{(k)}(t)\rangle) \leq E(|\Psi^{(k)}(t+\epsilon)\rangle)$$

C. N. Gagatsos, O. Oreshkov, and N. J. Cerf, PRA (2013).

... otherwise, catalysis effects may also be exhibited !

Interconversion of entangled Gaussian states



2

Necess. & suffic. condition for conversion by GLOCC



Conversion with non-Gaussian LOCC?

(special case N=2)



Solution : majorization relations between Fock-diag states



 $\sum_{n} p_{n} |n\rangle \langle n| \quad \neq \quad \sum_{n} q_{n} |n\rangle \langle n| \quad \sum_{n} p_{n} |n\rangle \langle n| \quad \Rightarrow \quad \sum_{n} q_{n} |n\rangle \langle n|$

 $\mathbf{q} = D_t \mathbf{p}$... no majorization rows

$$\hat{\rho} \xrightarrow{|0\rangle}{g} \hat{\sigma}$$

 $\mathbf{q} = D_a \mathbf{p}$... majorization $\sum_{t} D_t = 1/t^2 \ge 1 \qquad \sum_{t \in \mathcal{D}_t} D_t = 1 \qquad \sum_{t \in \mathcal{D}_g} D_g = 1/g^2 \le 1 \qquad \sum_{t \in \text{columns}} D_g = 1$ rows

Tensor product of these channels ?

 $\mathbf{q}_1 \otimes \mathbf{q}_2 = D_{t,a} \ \mathbf{p}_1 \otimes \mathbf{p}_2$ $\sum_{\text{rows}} D_{t,g} = \frac{1}{t^2 a^2} \gtrless 1 \qquad \sum_{\text{columns}} D_{t,g} = 1$

Majorization $\hat{\rho}_1 \otimes \hat{\rho}_2 \succ \hat{\sigma}_1 \otimes \hat{\sigma}_2$ if $g \ge 1/t$



LOCC possible iff $\hat{\rho}_1 \otimes \hat{\rho}_2 \prec \hat{\rho}_1' \otimes \hat{\rho}_2'$ if $g \ge 1/t$ (sufficient condition) ... translates into a condition on squeezing param.: $\frac{\sinh(r_1 + r_2) \pm \sinh(r_1 - r_2)}{\sinh(r_1' + r_2') \pm \sinh(r_1' - r_2')} \ge 1$

M. Jabbour, R. Garcia-Patron, and N. J. Cerf, PRA (2015).



M. Jabbour, R. Garcia-Patron, and N. J. Cerf, PRA (2015).





Stinespring dilation of pure-loss / ideal-ampli channels



Decomposition of all phase-insensitive Gaussian channels



$$\begin{cases} \text{transmission} \quad \tau = t^2 \ g^2 \\ \text{noise variance} \ n = g^2 (1 - t^2) + (g^2 - 1) \end{cases}$$

Yuen and Ozawa, 1993 **Capacity of bosonic Gaussian channels** Holevo and Werner, 1998 $\mathsf{M} \stackrel{\mathsf{T} = \text{transmission}}{n = \text{noise variance}}$ $M|\rho_{\alpha}|$ ρ_{α} continuous encoding $\{p(\alpha), \rho_{\alpha}\}$ such that $\int d^{2}\alpha \ p(\alpha) \ \rho_{\alpha} = \rho$ energy constraint on average input state $\rho \rightarrow Tr(\rho \hat{n}) = v$ $C^{(1)}(\mathbf{M}) = max_{\rho} \,\tilde{\chi}(\rho, \mathbf{M})$ with $\tilde{\chi}(\rho, \mathbf{M}) \equiv S(\mathbf{M}[\rho]) - \min_{\{p_{\alpha}, \rho_{\alpha}\}} \int d^2 \alpha p(\alpha) S(\mathbf{M}[\rho_a])$ $\geq min_{o}S(\mathbf{M}[\rho])$ $C^{(1)}(\mathbf{M}) \le \max_{\mathbf{O}} S(\mathbf{M}[\mathbf{\rho}]) - \min_{\mathbf{O}} S(\mathbf{M}[\mathbf{\rho}])$ for fixed energy, $S[M[\Phi_0]]$ achieved by a thermal state Φ_0 = pure state $S[M[\rho_{therm}]]$ minimizing output entropy



Proof of Gaussian conjecture

$$\Phi_0 = |0\rangle\langle 0| \quad \square \quad \square \quad M \begin{cases} \tau = \text{transmission} \\ n = \text{noise variance} \end{cases} \quad M \begin{bmatrix} \Phi_0 \end{bmatrix}$$

• **Reduction to amplifier** & **majorization ladder** with Fock states proves $\Phi_0 = |0\rangle\langle 0|$ only for rotation-invariant input states

R. Garcia-Patron, C. Navarrete-Benlloch,

S. Lloyd, J. H. Shapiro, and N. J. Cerf, PRL (2012).

• Vacuum $\Phi_0 = |0\rangle\langle 0|$ achieves global minimum entropy

 $S(\mathbf{M}[\Phi_0]) \le S(\mathbf{M}[\rho]) \quad \forall \rho$

V. Giovannetti, R. Garcia-Patron, N. J. Cerf, and A. S. Holevo, Nature Phot. (2014).

• Vacuum $\Phi_0 = |0\rangle\langle 0|$ actually yields global majorizing state

 $M[\Phi_0] \succ M[\rho] \quad \forall \rho$

A. Mari, V. Giovannetti, and A. S. Holevo, Nature Comm. (2014).

Reduction to quantum-limited amplifier



Vacuum $\Phi_0 = |0\rangle\langle 0|$ is a fixed point of beam splitter, hence it is sufficient to prove conjecture for quantum-limited amplifier

Complementary channel = phase-conjugating channel







For any functional $F(\rho)$ that obeys the properties

- non-negative $F(\rho) \ge 0$
- unitarily invariant $F(U\rho U^{\dagger}) = F(\rho)$
- strictly concave $F(w\rho_1 + (1-w)\rho_2) \ge wF(\rho_1) + (1-w)F(\rho_2)$

$$F(\mathbf{M}[\Phi_0]) \leq F(\mathbf{M}[\rho]) \quad \forall \rho$$

$$\Phi_0 = |0\rangle \langle 0| \quad \text{vacuum input minimizes F at the output}$$

e.g., entropy
$$S(\rho) = -\operatorname{Tr}(\rho \log \rho)$$

same "mechanism" as the proof for minimum entropy

Consider <u>special class</u> of such functionals $F(\rho)$ that are written as $F(\rho) = \operatorname{Tr} f(\rho) = \sum_{j} f(\lambda_{j})$ where λ_{j} are the eigenvalues of $\hat{\rho}$ \downarrow \forall <u>function</u> $f(x) \quad x \in [0,1]$ that is non-negative and strictly concave $\operatorname{Tr} f(\mathbf{M}[\Phi_{0}]) \leq \operatorname{Tr} f(\mathbf{M}[\rho]) \quad \forall \rho \quad \forall f(x)$

$$(\longrightarrow M[\Phi_0] \succ M[\rho] \qquad \forall \rho$$

$$(- \Phi_0 = |0\rangle \langle 0| \qquad \text{vacuum input yields global} majorizing state at the output}$$

A. Mari, V. Giovannetti, and A. S. Holevo, Nature Comm. (2014).



ρ̂ ≻ σ̂

- Majorization theory is ubiquitous in Gaussian quantum information (at the crossroads between quantum optics and quantum information)
- Majorization relations naturally arise when characterizing Gaussian optical components $t = t = \frac{1}{2}$

- Interesting tool for solving Gaussian entropic conjectures on bosonic channels or for deriving conditions on entanglement transformations between Gaussian states
- But we probably still miss the "big picture" (?) ... further developments are expected

