

# A transform of complementary aspects with applications to EURs

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Joint work with

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- An optimal uncertainty relation for 4 MUBs in  $d = 4$ .

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$$\Delta A \Delta B \geq \frac{1}{2} |\langle \psi | [A, B] | \psi \rangle|$$

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- Other measures quantifying the **spread** of the distribution - **entropy**
- An entropic uncertainty relation for canonically conjugate variables :-

$$H(X|\psi) + H(P|\psi) \geq \log(e\pi)$$

Formulated by Everett and Hirschmann (1957); established by Beckner and Bialynicki-Birula and Mycielski (1975).

This implies the Heisenberg uncertainty relation.

- **Renyi entropies:** If  $P_X(x)$  is a probability distribution over the set  $\mathcal{X} = \{x_1, x_2, \dots, x_d\}$ , Renyi entropy of order  $\alpha$  is

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- Collision entropy:  $H_2(P_X) = -\log \sum_{x \in \mathcal{X}} (P_X(x))^2$ .
- **Min-entropy:**  $H_\infty(P_X) = -\log \max_{x \in \mathcal{X}} P_X(x)$ .
- Renyi entropies are monotonically *decreasing* in  $\alpha$  :  $H_\infty(\cdot) \leq H_2(\cdot) \leq H(\cdot)$

# Entropic uncertainty relations<sup>1</sup>

- For a set of measurements  $\{\mathcal{M}_1, \mathcal{M}_2, \dots, \mathcal{M}_L\}$  on the space  $\mathbb{H}$  with a finite set of outcomes, an EUR is of the form

$$\frac{1}{L} \sum_{j=1}^L H_\alpha(\mathcal{M}_j|\rho) \geq c_{\{\mathcal{M}_j\}}, \quad \forall \rho \in \mathcal{S}(\mathbb{H}).$$

where  $c_{\{\mathcal{M}_j\}}$  is **independent** of the choice of state  $\rho$ .

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- There always exists  $\rho$  such that  $H_\alpha(\mathcal{M}_j|\rho) = 0$  for one of the measurements  $\mathcal{M}_j$  (an eigenstate!).  $\Rightarrow (1 - \frac{1}{L}) \log |\mathcal{X}| \geq c_{\{\mathcal{M}_j\}} \geq 0$ .

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- If  $c_{\{\mathcal{M}_j\}} = (1 - \frac{1}{L}) \log |\mathcal{X}|$ , the set  $\{\mathcal{M}_j\}$  is **maximally incompatible**, implying a **maximally strong uncertainty relation**.

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- The **Massen and Uffink** bound (1988) :-

For state  $\rho \in \mathbb{H}$  ( $\dim \mathbb{H} = d$ ) and observables  $\mathcal{A}$  and  $\mathcal{B}$  with orthonormal eigenbases  $\mathcal{A} = \{|a_1\rangle, \dots, |a_d\rangle\}$  and  $\mathcal{B} = \{|b_1\rangle, \dots, |b_d\rangle\}$ ,

$$\frac{1}{2} (H(\mathcal{A}|\psi) + H(\mathcal{B}|\psi)) \geq -\log c(\mathcal{A}, \mathcal{B})$$

where<sup>2</sup>  $c(\mathcal{A}, \mathcal{B}) := \max |\langle a|b \rangle|$ ,  $\forall |a\rangle \in \mathcal{A}, |b\rangle \in \mathcal{B}$ .

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- Strongest possible uncertainty relation is obtained when the bases are ***mutually unbiased***.

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- Massen-Uffink bound is not tight for general pairs of observables<sup>3</sup> – eg. components of spin along non-orthogonal directions.

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- Massen-Uffink bound for the **min-entropy**<sup>4</sup>

$$\frac{1}{2} (H_{\infty}(\mathcal{A}|\psi\rangle) + H_{\infty}(\mathcal{B}|\psi\rangle)) \geq -\log \left[ \frac{1 + c(\mathcal{A}, \mathcal{B})}{2} \right]$$

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- Tight for some choices of  $\mathcal{A}$  and  $\mathcal{B}$ , in particular, for 2 mutually unbiased bases in  $d = 2$ .

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# Mutually unbiased bases<sup>6</sup>

## Definition and examples

- **Definition:-** Two orthonormal bases  $\mathcal{B}^{(1)} = \{|b_1^1\rangle, |b_2^1\rangle, \dots, |b_d^1\rangle\}$  and  $\mathcal{B}^{(2)} = \{|b_1^2\rangle, |b_2^2\rangle, \dots, |b_d^2\rangle\}$  in  $\mathbb{C}^d$  are *mutually unbiased* if

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- **Examples:-** Eigenvectors of  $\sigma_x$  and  $\sigma_z$  in  $d = 2$ .  
In general, the **computational basis** and **Hadamard basis**. (Eigenbases of  $\mathbf{I}^{\otimes k}$  and  $\mathbf{H}^{\otimes k}$  in dimension  $d = 2^k$ , where  $\mathbf{H}$  is the Hadamard matrix.)

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- Maximal number of MUBs<sup>5</sup> in dimension  $d$  is  $N(d) \leq d + 1$ .  
If  $d = p^k$ ,  $N(d) = d + 1$  – explicit construction is known using generalized Pauli operators.

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<sup>5</sup>S.Bandyopadhyay *et al.* Algorithmica, **34**(4), 512, 2002

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# Uncertainty relations for MUBs

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- When the complete set of  $d + 1$  MUBs exist, EURs are known<sup>7</sup>

$$\frac{1}{d+1} \sum_{j=1}^{d+1} H_2(\mathcal{B}_j | \rho) \geq \log(d+1) - 1$$

Tight for states invariant under  $U : \mathcal{B}_1 \rightarrow \mathcal{B}_2 \rightarrow \dots \mathcal{B}_d \rightarrow \mathcal{B}_1$ .

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- For less than  $d + 1$  MUBs, such relations have not been obtained.

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- In square prime power dimensions ( $d = p^{2l}$ ) there exist upto  $p^l + 1$  MUBs derived from generalized Pauli matrices, which satisfy *weak* uncertainty relations<sup>8</sup> :-

$$\min_{\rho} \frac{1}{L} \sum_j H(\mathcal{B}_j|\rho) = \frac{\log d}{2}$$

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- For 3 MUBs in prime power dimension, it has been shown<sup>9</sup> that the lower bound cannot exceed  $(\frac{1}{2} + O(1)) \log d$  for large dimensions (assuming the Generalized Riemann Hypothesis!!).

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- Thus, for more than two measurements with multiple outcomes, whether there exist maximally strong uncertainty relations remains an interesting open question.

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- There exists a direct correspondence between the lower bounds on the average min-entropy and the extrema of **discrete Wigner functions**.
- **Separability criteria** based on EURs are known<sup>13</sup>.

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- Given the  $2n$  generators of the Clifford algebra  $\{\Gamma_0, \Gamma_1, \dots, \Gamma_{2n-1}\}$  in dimension  $d = 2^n$ ,

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<sup>14</sup>P.Mandayam, N.Balachandran and S.Wehter, J Math Phys. **51**, 082201 (2010)



# Symmetric MUBs from Clifford generators<sup>14</sup>

- Given the  $2n$  generators of the Clifford algebra  $\{\Gamma_0, \Gamma_1, \dots, \Gamma_{2n-1}\}$  in dimension  $d = 2^n$ ,
  - $\{\Gamma_0, \Gamma_1, \dots, \Gamma_{2n-1}\}$  can be viewed as  $2n$  orthogonal vectors forming a basis for  $\mathbb{R}^{2n}$ .
    - $\Rightarrow$  There exists a unitary  $U$  that cyclically permutes the  $\Gamma$ -operators.
  - This symmetry can be extended to  $SO(2n+1)$ , including  $\Gamma_{2n} = i\Gamma_0\Gamma_1\dots\Gamma_{2n-1}$
  - The set of operators  $\mathcal{S} = \{\mathbf{I}, \Gamma_j, i\Gamma_i\Gamma_j, \Gamma_i\Gamma_j\Gamma_k, \dots, \Gamma_{2n} = i\Gamma_0\Gamma_1\dots\Gamma_{2n-1}\}$  forms an orthogonal basis for  $d \times d$  Hermitian matrices, where  $d = 2^n$ .

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<sup>14</sup>P.Mandayam, N.Balachandran and S.Wehner, J Math Phys. **51**, 082201 (2010)

# Symmetric MUBs from Clifford generators<sup>14</sup>

- Given the  $2n$  generators of the Clifford algebra  $\{\Gamma_0, \Gamma_1, \dots, \Gamma_{2n-1}\}$  in dimension  $d = 2^n$ ,
  - $\{\Gamma_0, \Gamma_1, \dots, \Gamma_{2n-1}\}$  can be viewed as  $2n$  orthogonal vectors forming a basis for  $\mathbb{R}^{2n}$ .
    - $\Rightarrow$  There exists a unitary  $U$  that cyclically permutes the  $\Gamma$ -operators.
  - This symmetry can be extended to  $SO(2n+1)$ , including  $\Gamma_{2n} = i\Gamma_0\Gamma_1\dots\Gamma_{2n-1}$
  - The set of operators  $\mathcal{S} = \{\mathbf{I}, \Gamma_j, i\Gamma_i\Gamma_j, \Gamma_i\Gamma_j\Gamma_k, \dots, \Gamma_{2n} = i\Gamma_0\Gamma_1\dots\Gamma_{2n-1}\}$  forms an orthogonal basis for  $d \times d$  Hermitian matrices, where  $d = 2^n$ .
- To construct MUBs, we group the elements of  $\mathcal{S}$  into classes  $\{\mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_L \mid \mathcal{C}_j \subset \mathcal{S}\}$  of size  $|\mathcal{C}_j| = d$  such that (i) the elements of  $\mathcal{C}_j$  commute for all  $j = 1, 2, \dots, L$  and (ii)  $\mathcal{C}_j \cap \mathcal{C}_k = \{\mathbf{I}\} \forall j \neq k$ .

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- The common eigenbases of such classes are MUBs that get cyclically permuted under the action of  $U$ .

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- A simple example in  $d = 4$ . For  $k = 3$  MUBs, the classes are given by

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- Since each class can contain only 1 Clifford generator, maximum number of such classes possible is  $2n + 1$ .
- Imposing the additional constraint that  $U : \mathcal{C}_i \rightarrow \mathcal{C}_{i+1}$ , we show by an explicit construction that there exist  $2 < k \leq 2n + 1$  such classes in dimension  $d = 2^n$  whenever
  - $k$  is prime, and
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# New lower bounds on the average min-entropy

- Let  $\{\mathcal{B}^{(b)}, b = 0, \dots, L - 1\}$  be a set of MUBs in a  $d$ -dimensional space  $\mathbb{H}$ . Then, we show,

$$\frac{1}{L} \sum_{b=0}^{L-1} H_{\infty}(\mathcal{B}^{(b)}|\rho) \geq -\log \left[ \frac{1}{L} \left( 1 + \frac{L-1}{\sqrt{d}} \right) \right], \quad \forall \rho \in \mathbb{H}.$$



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- For the complete set of  $L = d + 1$  MUBs, we obtain a slightly stronger bound,

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- An optimal and tight uncertainty relation in some cases, but not always.

# An optimal EUR for 4 MUBs in $d = 4$

- 4 MUBs in  $d = 4$  via our construction:-

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- The EUR  $\frac{1}{4} \sum_{b=1}^4 H_\infty(\mathcal{B}^{(b)}|\rho) \geq -\log\left[\frac{1}{4}\left(1 + \frac{3}{2}\right)\right]$  is tight. The minimum value is attained for a state that is an **invariant** of  $U$ .

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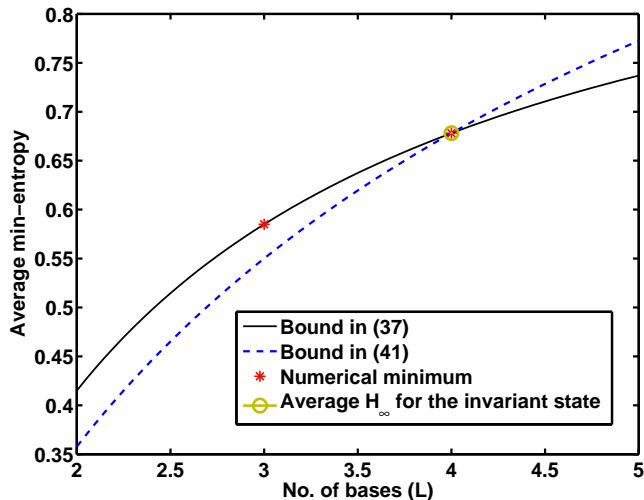
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- However, for 3 MUBs in  $d = 4$ , numerical estimates show our bound is not tight.

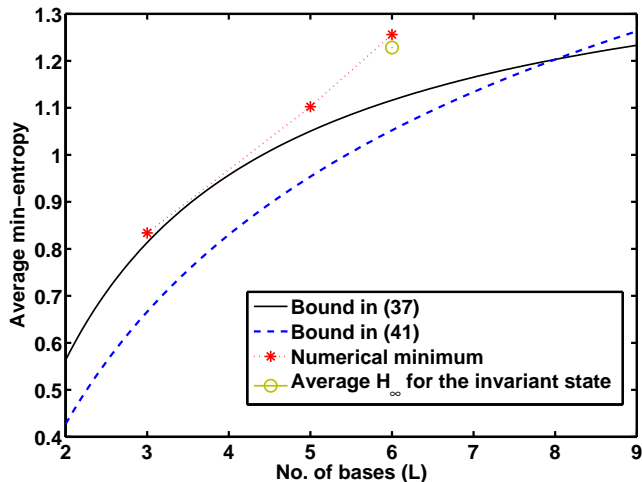


# EUR bounds for MUBs in $d = 4$



Average min-entropy for different sets of MUBs in dimension  $d = 4$ .

# EUR bounds for MUBs in $d = 8$



Average min-entropy for different sets of MUBs in dimension  $d = 8$ .

- We outline a new construction of **symmetric** MUBs using the generators of the Clifford algebra, that are cyclically permuted under the action of a unitary transformation  $U$ .

# Summary of results

- We outline a new construction of **symmetric** MUBs using the generators of the Clifford algebra, that are cyclically permuted under the action of a unitary transformation  $U$ .
- We show explicit constructions of  $2 \leq L \leq 2n + 1$  such MUBs in dimension  $d = 2^n$ , whenever (a)  $L$  is prime and (b)  $L|n$  or  $L = 2n + 1$ .

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- We demonstrate new lower bounds for the average min-entropy for any set of MUBs, stronger than existing lower bounds.
- Using our construction, we can explicitly write down a set of 4 MUBs in  $d = 4$  and show that they satisfy an optimal, tight uncertainty relation. Minimizing state is invariant under the unitary transform.

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- Can the maximally strong EUR for the  $d = 4$  case be used to improve existing cryptographic protocols in a practical way?

Thank You!

- Recall, **Average min-entropy** is

$$\frac{1}{L} \sum_{b=0}^{L-1} \mathcal{H}_{\infty}(\mathcal{B}^{(b)}|\rho) = -\frac{1}{L} \sum_b \log \max_{y \in \{0, \dots, d-1\}} \langle y^{(b)} | \rho | y^{(b)} \rangle$$

# Evaluating the lower bound - I

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- Define  $P_{\vec{y}} := \frac{1}{L} \sum_{y^{(k)}} |y^{(k)}\rangle\langle y^{(k)}|$  for  $\vec{y} = (y^{(0)}, y^{(1)}, \dots, y^{(L-1)})$  denotes a string of basis elements, i.e.  $y^{(k)} \in \{0, 1, \dots, d-1\}$ . Then,

$$\frac{1}{L} \sum_{k=0}^{L-1} \mathcal{H}_{\infty}(\mathcal{B}^{(k)} || \psi\rangle\langle\psi|) \geq -\log \max_{|\psi\rangle} \text{Tr}(P_{\vec{y}}|\psi\rangle\langle\psi|)$$

# Evaluating the lower bound - II

- Reduces the problem to finding the largest eigenvalue for any operator  $P_{\vec{y}}$ . Any  $\zeta$  such that  $P_{\vec{y}} \leq \zeta \mathbb{I}$  for all  $\vec{y}$ , gives us a lower bound for the average min-entropy.

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- For a set of  $L$  orthogonal projectors  $A_0, A_1, \dots, A_{L-1}$ , the following bound holds<sup>15</sup>:

$$\left\| \sum_{j=0}^{L-1} A_j \right\| \leq 1 + (L-1) \max_{0 \leq j < k \leq L-1} \|A_j A_k\|$$

where  $\|(\cdot)\|$  denotes the operator norm, or simply the maximum eigenvalue for Hermitian operators.

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- Applying this result to sums of basis vectors  $|y^{(b)}\rangle$ , and using  $\langle b^{(j)} | b^{(k)} \rangle = e^{i\phi} \frac{1}{\sqrt{d}}$ , for any  $j \neq k$ , gives the desired bound.

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# MUBs from generalized Pauli matrices<sup>17</sup>

- Let  $\{|0\rangle, |1\rangle, \dots, |p-1\rangle\}$  denote the computational basis in  $\mathbb{C}^p$ . The **generalized Paulis** are defined by

$$X_p|k\rangle = |(k+1) \bmod p\rangle \ ; \ Z_p|k\rangle = \omega^k|k\rangle,$$

where  $\omega = e^{2\pi i/p}$ .

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- If  $d = p^k$  (a prime power), the Hilbert space  $\mathbb{H}$  can be written as a tensor product of  $k$  copies of  $\mathbb{C}^p$ .

Group all  $d^2$  possible strings of tensor products of  $X_p$  and  $Z_p$  into sets  $\mathcal{C}_1, \mathcal{C}_2, \dots, \mathcal{C}_{d+1}$  such that, (i)  $|\mathcal{C}_i| = d$ , (ii)  $\mathcal{C}_i \cap \mathcal{C}_j = \{\mathbf{I}\}$  for  $i \neq j$  and (iii) all elements of  $\mathcal{C}_i$  **commute**.

Let  $\mathcal{B}^{(i)}$  be the common eigenbasis of the elements of  $\mathcal{C}_i$ . The bases  $\{\mathcal{B}^{(1)}, \mathcal{B}^{(2)}, \dots, \mathcal{B}^{(d+1)}\}$  are mutually unbiased.

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- Symmetry property**<sup>16</sup>:- There exists an ordering  $\mathcal{B}^{(1)}, \dots, \mathcal{B}^{(d+1)}$ , and a unitary  $U$  such that  $U\mathcal{B}^{(j)}U^\dagger = \mathcal{B}^{(j+1)}$ , where  $U\mathcal{B}^{(d)}U^\dagger = \mathcal{B}^{(1)}$ .

<sup>16</sup>W.K.Wootters and D.M.Sussman, 2007, arXiv:0704.1277

<sup>17</sup>S.Bandyopadhyay, P.Boykin, V.Roychowdhury and F.Vatan, Algorithmica, **34**(4), 512, 2002