

Inflation: Baumann (TASI lectures)

Notes based on: "Inflation & String Theory"<sup>1)</sup>

Observations: Baumann & Maldacena

①

type Ia SN, CMB fluctuations by WMAP & PLANCK

LSS surveys

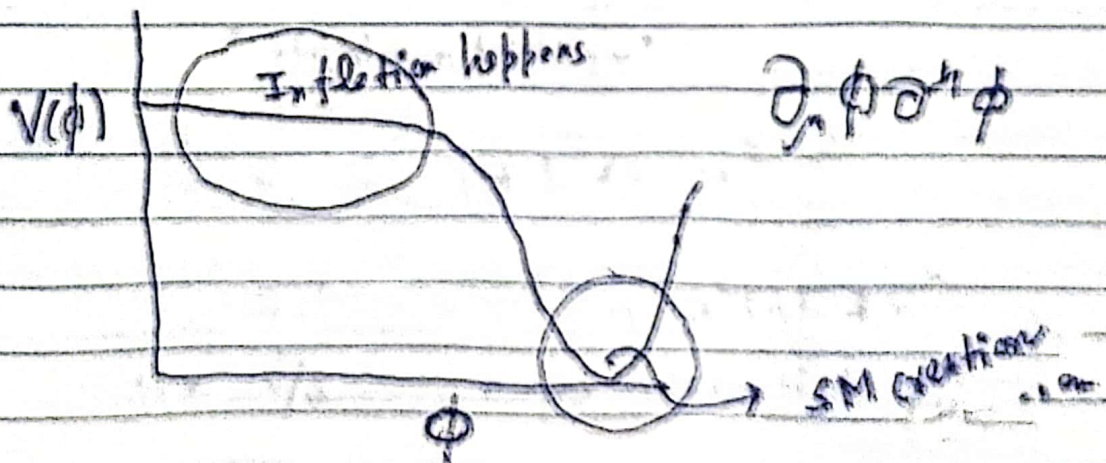
→  $\Lambda$ CDM 68% DE; 27% DM  
5% ordinary matter

→ LSS formed by gravitational collapse of primordial fluctuations

→ Primordial fluctuations originated from quantum fluctuations stretched to cosmic scale by exponential expansion (inflation)

→ What is the origin of inflation

→ How do we get  $V(\phi)$ ?



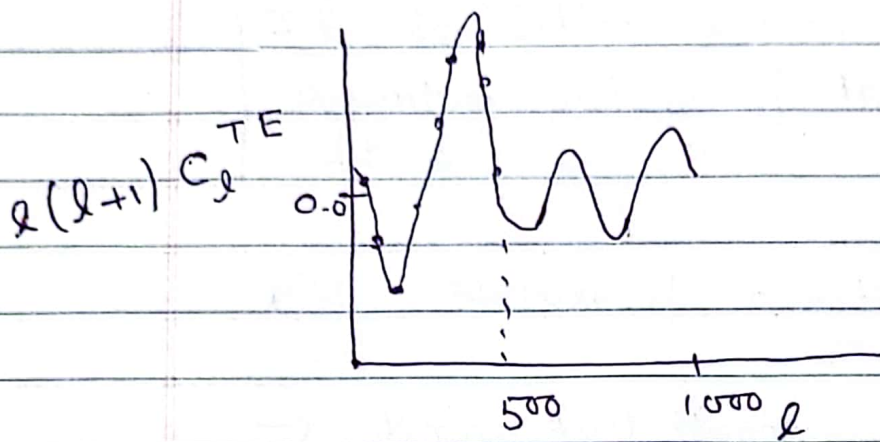
(2)

Goal:

Cosmology without inflation

⇓

Causal signal travels from initial singularity to CMB formation  $\ll$  CMB scales where phase coherences is seen



CMB  $\Rightarrow$  superhorizon perturbation at recombination.  $l < 200$

coherent phase! ✓

Definite -ve correlation!

same wave numbers  $|\vec{k}|$ , but different  $\vec{k}$  all gets summed up coherently.

"Horizon problem"

Resolved if  $|\ddot{H}| \ll H^2$

$$ds^L = -dt^L + a^L(t) d\vec{x}^L$$

$H \equiv \dot{a}/a$  quasi exponential expansion

$$a(t) \propto e^{Ht}$$

Homogeneous initial conditions on sub-horizon scales are stretched to apparently acausal super-horizon scales.

$S^{\phi}_{\text{quantum}} \rightarrow S^H(t, \vec{x}) \rightarrow S^P(t, \vec{x})$   
after inflation

If inflation is correct, CMB probes quantum nature of the inflaton quantum field.

~~Q~~ Nature of quantum field?

→ know full theory or EFT ideas!

parametrize "unknown" physics associated with d.o.f at high scale  $\Lambda$  by a collection of higher dimensional operators



EFT non-renormalizable irrelevant operators as  $\mathcal{O}/\Lambda^n$

"Typically"  $\Lambda^4/\Lambda^2/\Lambda^4$  effects of irrelevant operators  $(E/\Lambda)$

and  $E \ll \Lambda$ , the effect <sup>high energy dim 4</sup> disappears

But in some cases low energy observables are strongly affected by irrelevant interactions.

⇓  
UV sensitive.

• Inflation is UV sensitive!  
ie & even if  $H_{inf} \ll M_{pl}$ , dynamics of inflation sensitive to Planck scale physics.

• In every model of inflation, duration of inflation is affected by at least a few non-renormalizable operators

• For large field models, infinite <sup>series</sup> number of interactions & arbitrarily high dimension affects inflation dynamics -

• EFT approach  $\phi \rightarrow \phi + c$  shift symmetry ensures radiative stability.

# Horizon Problem!

5

FRW universe

$$ds^2 = -dt^2 + a^2(t) d\vec{x}^2$$

$$dt^2 = a^2(\tau) d\tau^2 = a^2(\tau) [-d\tau^2 + d\vec{x}^2]$$

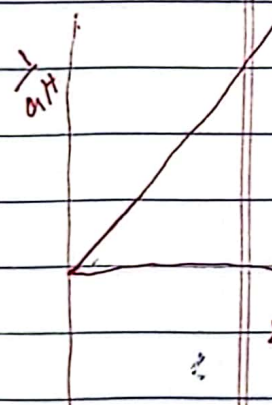
Comoving

Maximal distance  $|\Delta\vec{x}|$  a particle can travel between  $\tau$  &  $\tau + \Delta\tau$  is

$$|\Delta\vec{x}| = \Delta\tau \quad \text{for any } a(\tau)$$

In standard BB cosmology, early universe dominated by radiation and at sufficiently back in time  $a \rightarrow 0$  singularity

choose initial singularity at  $t=0$



$$\text{The } |\Delta x| = \Delta\tau = \int_0^t \frac{dt'}{a(t')} = \int_0^a \frac{da}{Ha^2}$$

Comoving particle horizon

$$\text{where } H \equiv \frac{1}{a} \frac{da}{dt} = \int_{-\infty}^{\ln a(t)} \frac{d \ln a}{aH} = \int_{-\infty}^{\ln a(t)} \frac{(aH)^{-1}}{d(\ln a)}$$

$$z \propto \begin{cases} a^{-1} & \text{RD} \\ a^{-1/2} & \text{MD} \end{cases}$$

In standard BB cosmology  $\ddot{a} < 0$  (deceleration) and comoving Hubble radius ~~decreases~~ <sup>GROWS</sup> with time

(6)

$\Rightarrow \Delta x$  is dominated (entire integral) by contributions from late times.

$\Downarrow$   
Horizon problem

conformal time between recombination to today  $\gg$   
time between  $t=0$  to recombination

At CMB, two points separated by more than  $1^\circ$  were never in causal contact.

$\downarrow$   
 $\rightarrow$  But they are homogeneous in  $10^{-5}$

[ Moreover fluctuations are correlated on what seem to be superhorizon scales.  $\&$  at recombination.

Postulate: Comoving Hubble radius decreases so that early time sets contribution

$\Downarrow$   
Add extra conformal time (negative)

If that epoch is long enough  $\rightarrow$  all points in CMB becomes within one causal patch

Observed correlation can be explained  $\checkmark$

- We need shrinking  $\left(\frac{L}{aH}\right)$

(moving further  
radius)

Inflation:  $H$  fixed  $\rightarrow a$  grows.

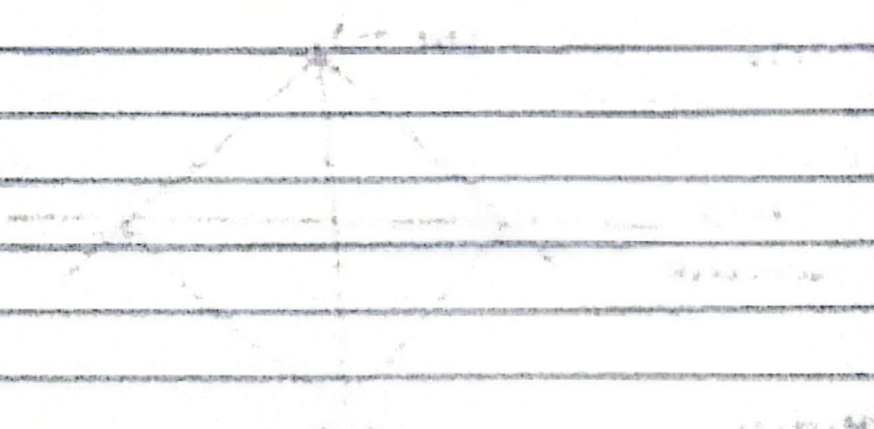
- } Assume  $a$  contracts, but  $H$  grows  
Exponential/  
Cyclic } s.t.  $\left(\frac{L}{aH}\right)$  shrinks

$$E_{\text{eff}}(H) = H^{-1} = - (H^{-2})'$$

$$\rightarrow (H^{-2})' = -2H^{-3} = - (2/H^3)$$

$$\text{Then } E = \frac{1}{2} \left( \frac{H}{L} \right)$$

$$\text{Total energy requirement } E = \frac{1}{2} P$$



Cosmic Inflation

Comoving Hubble radius decreases.

$$\frac{d}{dt} (aH)^{-1} = -\frac{1}{a} \left[ \frac{\dot{H}}{H^2} + 1 \right] < 0$$

$| \dot{H} | \ll H^2 \Rightarrow \epsilon \equiv -\frac{\dot{H}}{H^2} < 1 \quad \checkmark \quad \epsilon \rightarrow 0$   
 Definition of inflation! de Sitter!  
 $a \sim e^{Ht}$   
 with  $H \sim \text{constant}$

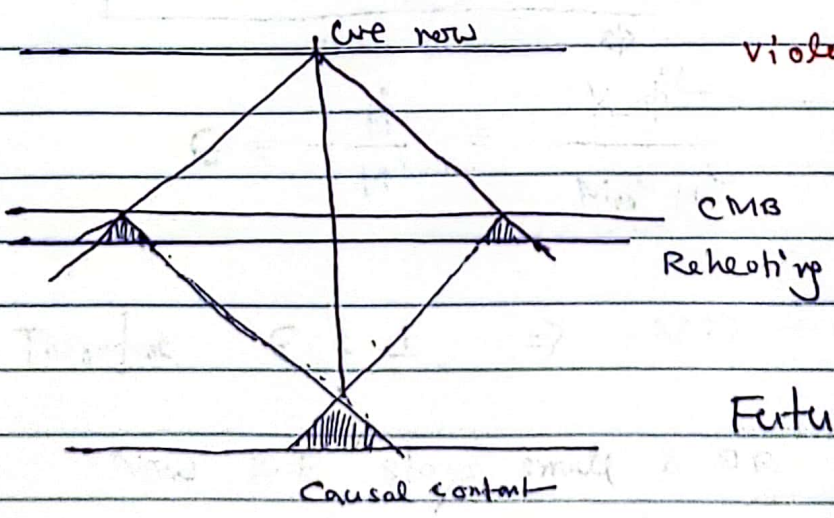
$3 M_{pl}^2 H^2 = \rho \quad \text{--- (A)}$

$6 M_{pl}^2 (\dot{H} + H^2) = -(\rho + 3p) \quad \text{--- (B)}$

$(A \& B) \rightarrow 2 M_{pl}^2 \dot{H} = -(\rho + p)$

Then  $\epsilon = \frac{3}{2} \left( 1 + \frac{p}{\rho} \right)$

Inflation requires  $p < -\frac{1}{3} \rho$



violation of SEC  
 $(\rho + p > 0) \quad (\rho + 3p < 0)$

Future reference  
 $\tilde{\eta} \equiv \frac{\dot{\epsilon}}{H\epsilon}$



$$\omega = \gamma R$$

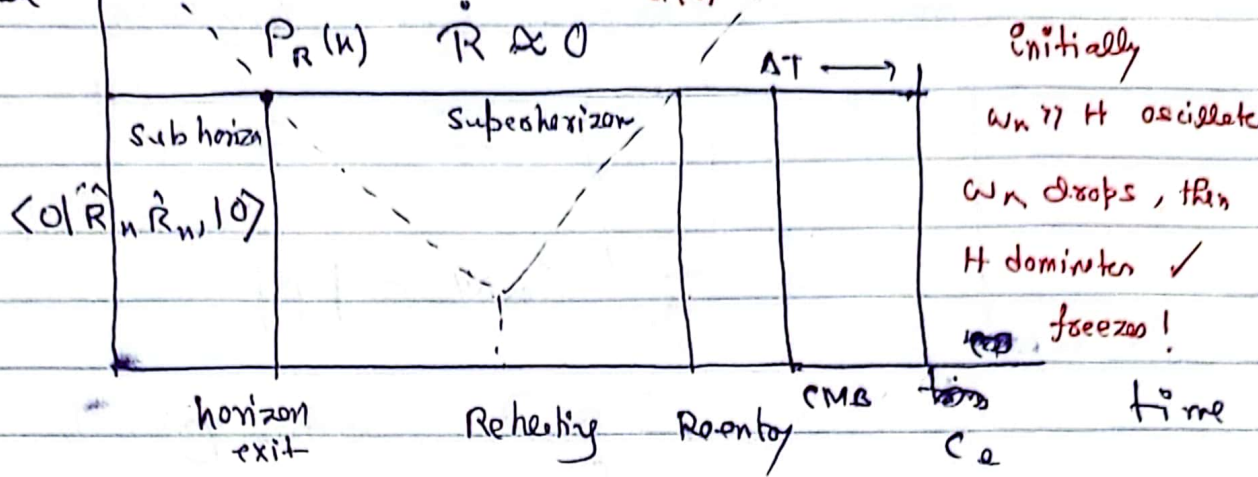
$$\gamma^2 = 2 M_{pl}^2 \frac{E}{c^2}$$

Comoving scale

$$\ddot{\omega}_k + 3H\dot{\omega}_k + \frac{c_s^2}{a^2} k^L \omega_k = 0$$

$$\omega_k(t) \approx \frac{c_s k}{a(t)} (aH)^{-1}$$

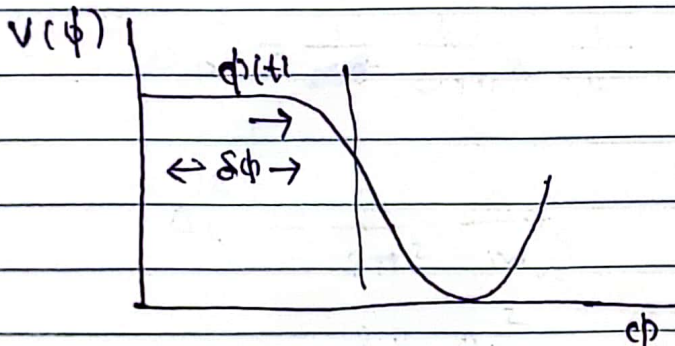
(8)



### Effective Theories of Inflation:

$$|\dot{\phi}| \ll H^L \quad (\text{inflation}) \quad \begin{matrix} \text{origin of inflation} \\ \text{background?} \end{matrix}$$

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_{pl}^2}{2} R - \frac{1}{2} |\partial\phi|^2 - V(\phi) \right]$$



$$3 M_{pl}^2 H^2 = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

$$\ddot{\phi} + 3H\dot{\phi} = -V'(\phi)$$

$$\epsilon \equiv -\frac{\dot{H}}{H^2} = \frac{\frac{1}{2} \dot{\phi}^2}{M_{pl}^2 H^2}$$

$$p = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

$$\rho = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

$$p \approx -\rho$$

Therefore  $\epsilon < 1 \Rightarrow V \gg \frac{1}{2} \dot{\phi}^2$

Now  $k \cdot \epsilon$  stays small & SR persists if

$$|\dot{\phi}| \ll 3H|\phi|$$

9

Prolonged SR inflation can be expressed as

$$\epsilon_v = \frac{M_{pl}^2}{2} \left( \frac{V'}{V} \right)^2 \ll 1$$

$$|\eta_v| = M_{pl}^2 \frac{|V''|}{V} \ll 1$$

$$\tilde{\eta} \equiv \frac{\dot{\epsilon}}{\epsilon H}$$

During SR  $\epsilon = \epsilon_v$

$$\tilde{\eta}_v \approx 2\epsilon - \frac{1}{2}\tilde{\eta}$$

Note  $|\eta_v| \ll 1 \rightarrow$  small hierarchy between inflaton mass

& Hubble scale

$$m^2 = V'' \ll 3H^2 \approx \frac{V}{M_{pl}^2}$$

$$\Delta_R^2 = \frac{1}{24\pi^2} \frac{1}{\epsilon} \frac{V}{M_{pl}^4} \left( = \frac{H^4}{8\pi^2 M_{pl}^2 |H|} \right)$$

$$\Delta_h^2 = \frac{2}{3\pi^2} \frac{V}{M_{pl}^4} \left( = \frac{2}{\pi^2} \frac{H^2}{M_{pl}^2} \right)$$

$$n_s - 1 \equiv \frac{d \ln \Delta_R^2}{d \ln k}$$

$$= 2\eta_v - 6\epsilon_v \quad \text{X 17}$$

$$\delta \equiv \frac{\Delta_h^2}{\Delta_R^2} = 16 \epsilon_v$$

observables are calculated at horizon crossing; ie

$$k_* = aH$$

$$\Delta h^2(k) = \frac{2}{\pi^2} \frac{H^4}{M_{pl}^2}$$

$$\Rightarrow \frac{H}{M_{pl}} = \pi \Delta_R(k_*) \sqrt{\frac{\gamma}{2}}$$

Observation:  $\Delta_R(k_*) = 4.7 \times 10^{-5}$

$$\Rightarrow H = 3 \times 10^{-5} \left(\frac{\gamma}{0.1}\right)^{1/2} M_{pl}$$

i.e for  $\gamma \sim 0.1$ , expansion rate  $\sim 10^{-5} M_{pl}$

$$E_{inf} \equiv (3H^2 M_{pl}^2)^{1/4} = 8 \times 10^{-3} \left(\frac{\gamma}{0.1}\right)^{1/4} M_{pl}$$

Reducing  $\gamma$  by four orders of mag reduce  $E_{inf}$  by only one order!

With reasonable observational goal

$$E_{inf} \sim 10^{-2} M_{pl} \sim 10^{16} \text{ GeV}$$

$\ll M_{pl}$

Tests of Inflation:

$\Lambda$ CDM model -  $\omega_b = \Omega_b h^2$   
 $\omega_c = \Omega_c h^2$   
 $\Omega_\Lambda$

optical depth  $\tau$   
 $A_s$   
 $n_s$

with  $\Delta_R^2(k) = A_s \left(\frac{k}{k_*}\right)^{n_s-1}$

6 parameters  
 (No  $\tau$ )

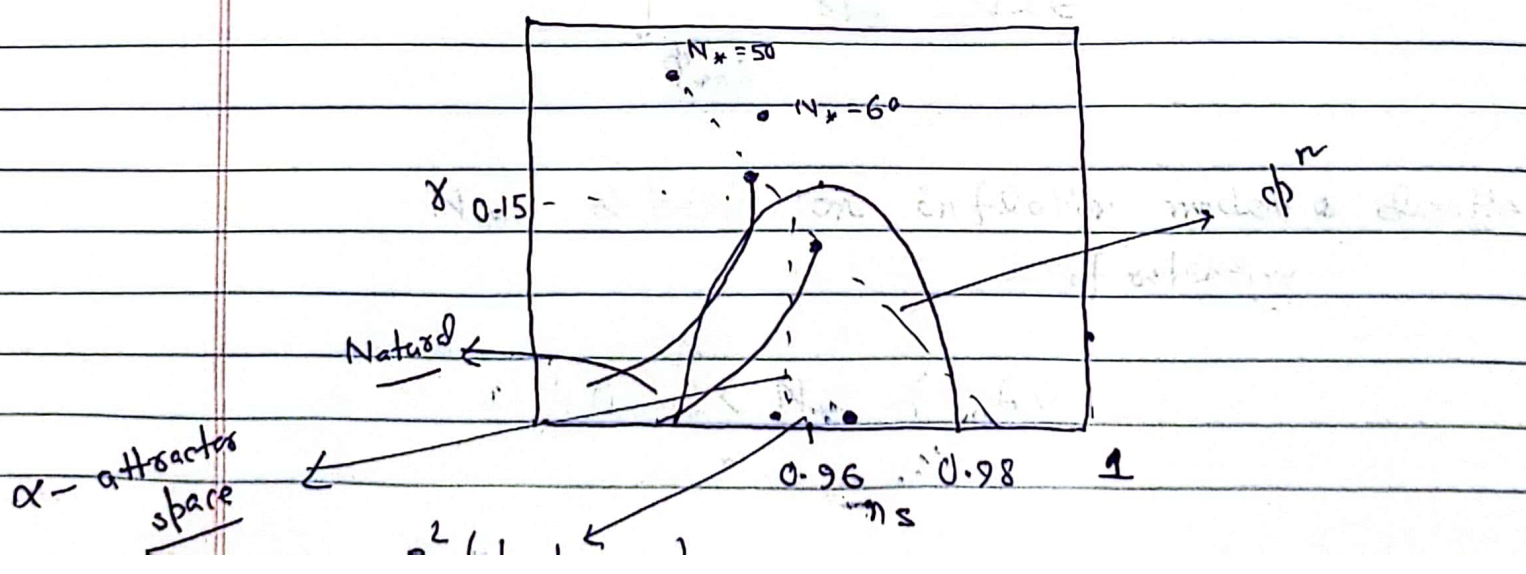
$A_s \sim 2.1 \times 10^{-9}$

$n_s \sim 0.9603 \pm 0.0073$

scale invariance excluded  
 by  $6\sigma$

When  $\tau$  included then also

$n_s \sim 0.963 \pm 0.0019$



- $\Omega_k = -0.072 \pm 0.0081$  (Geometry)
- $n_s = \dots$  Powerspectrum ✓
- Coherent phase

Future ✓

- Tensor fluctuations ( $r < 0.05$ )
- Non-gaussianity
- Non-adiabatic fluctuations
- Tensor tilt
- Running of scalar spectral index etc ✓

$$N_* = \int_{\phi_{end}}^{\phi_*} \frac{d\phi}{M_{pl}} \frac{1}{\sqrt{2\epsilon}}$$

$N_*$  depends on inflation model & duration of reheating

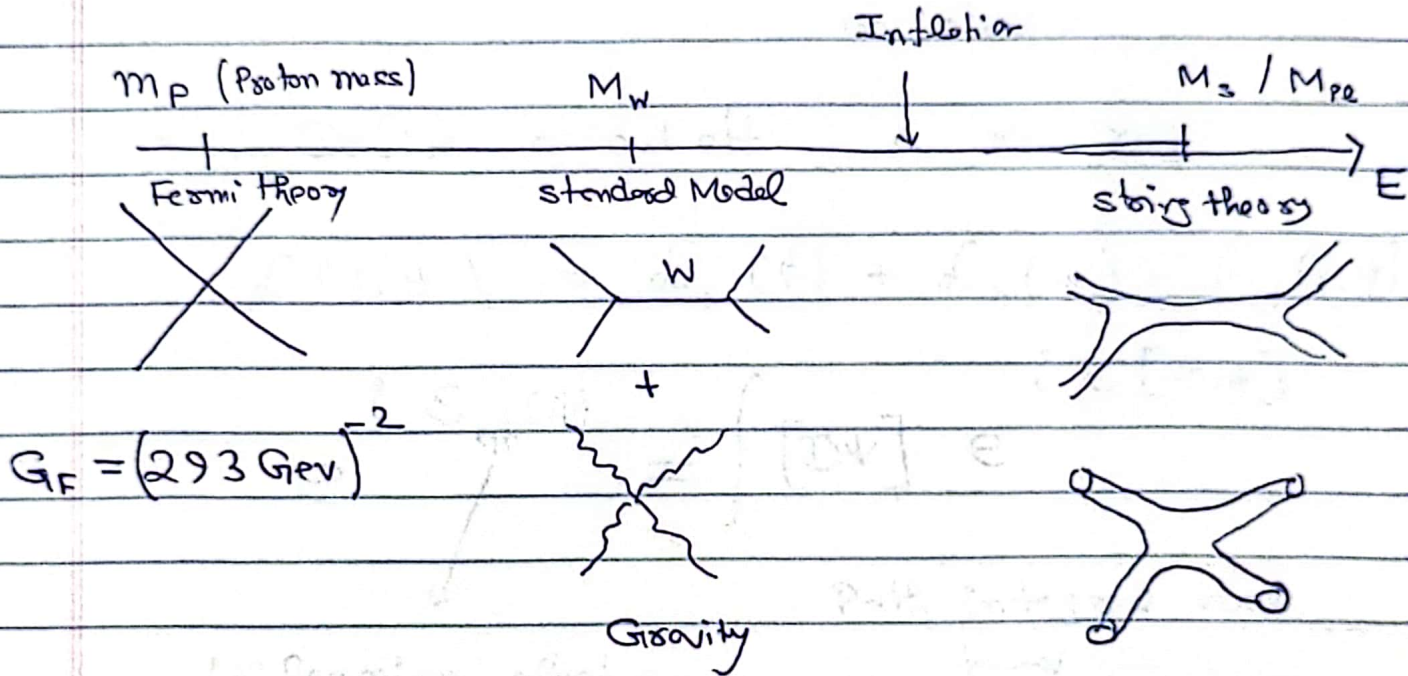
$$40 \lesssim N_* \lesssim 60$$

# Inflation In Effective Field Theory :

(13)

Inflation  $\nearrow$  starts with low energy IR d.o.f  
 & parametrize our ignorance about  
 UV theory in term of higher-D operators

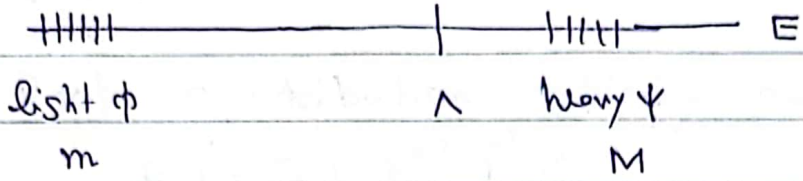
$\searrow$  starts with "complete" UV theory  
 e.g. string theory  $\rightarrow$  describe inflation  
 as one of low energy consequences.



Fermi theory becomes invalid  
 at  $m \sim M_W$  scale  $\sim 100 \text{ GeV}$

[ similarly (gravity + SM) interaction OK  
 until  $M_{Pl}$  scale  
 as Fermi theory OK until  $G_F^{-1/2}$   
 $\sim 293 \text{ GeV}$

Effective Action:



- Identify relevant D.O.F. at some energy of measurement  $\Rightarrow$  particles produced on shell  $\checkmark$
- Define a cut off  $\Lambda \gg m_\phi$

$$\mathcal{L}(\phi, \psi) = \mathcal{L}_\ell(\phi) + \mathcal{L}_h(\psi) + \mathcal{L}_{\ell h}(\phi, \psi)$$

$$e^{i S_{\text{eff}}(\phi)} = \int [D\psi] e^{i S[\phi, \psi]}$$

Wilsonian effective action. Path integral over heavy modes

In practice difficult to do the path integral ~~any~~ and we do matching calculation in perturbation theory is done! etc etc.

- Classical algorithm (from used in Quantum algorithm language) - substitute  $\psi$  for  $\phi$

Simple construction by plugging in  $\psi$

$$\Phi ( \square + M' ) \psi$$

$$E \ll M \quad \psi \quad \frac{1}{M} \left( 1 + \frac{D}{M} \right) \psi$$

local ✓

Let  $\psi$

$$\text{dim } [\Phi] = \mathcal{O}_1(\psi)$$

$$\sum_i C_i(\psi)$$

Dimension

$$\mathcal{O}_2(\psi)$$

$$M^{\mathcal{O}_2(\psi)}$$

now they can be parallel

$\mathcal{O}_1$  operators of dimension  $\mathcal{O}_1 \gg 4$

As  $\mathcal{O}_2(\psi)$  typically  $\mathcal{O}_2(\psi)$  can be all the operators of  $\dots$





• If low energy observables are not so sensitive to UV physics → you can do this safely -

→ If it is sensitive (as in inflation), it can be a probe!

$$d_{eff} [z] = -\frac{1}{3} \rho_{eff} - \frac{1}{3} m_{eff}^2 \phi^2 - \frac{1}{3} \dots$$

$$- \frac{1}{3} \left( \frac{c_1(z)}{z^2} \phi^2 + \frac{c_2(z)}{z^4} \phi^4 \right)$$

$$m_{eff}^2 = m^2 + \frac{g}{32\pi^2} \left( \frac{1}{L} - M^2 L \right) + \dots$$

cut off scale

$$\lambda_{eff} = \lambda - \frac{g^2}{32\pi^2} L + \dots = \cancel{\dots} + \cancel{\dots}$$

$$L \equiv M_p \left( \frac{\Lambda^4}{\mu^4} \right) \quad L = \text{renormalization scale!}$$

A Toy Model :

UV theory  $\mathcal{L} [\phi, \psi] = -\frac{1}{2} (\partial\phi)^2 - \frac{1}{2} m^2 \phi^2 - \frac{\lambda}{4!} \phi^4$

$M \gg m$

two parameters  $\lambda, g$

$-\frac{1}{2} (\partial\psi)^2 - \frac{1}{2} M^2 \psi^2$

$-\frac{g}{4} \phi^2 \psi^2$

/ Renormalizable operators

$Z_2$  symmetry  $\phi \rightarrow -\phi$

$\mathcal{L}_{eff} [\phi] = -\frac{1}{2} (\partial\phi)^2 - \frac{1}{2} m_R^2 \phi^2 - \frac{\lambda_R}{4!} \phi^4$

$-\sum_{i=1}^{\infty} \left( \frac{c_i(g)}{M^{2i}} \phi^{4+2i} + \frac{d_i(g)}{M^{2i}} (\partial\phi)^2 \phi^{2i} \right)$

also maintains  $\phi \rightarrow -\phi$  non-renormalizable oper.

In cut-off regularization  $m_R^2 = m^2 + \frac{g}{32\pi^2} \left( \Lambda^2 - M^2 \right) = \dots + \dots$   
 ↑  
 Cut off scale!

$\lambda_R = \lambda - \frac{3g^2}{32\pi^2} L + \dots = \text{tree} + \text{loop} + \dots$

$L \equiv \ln \left( \frac{\Lambda^2}{\mu^2} \right)$   $\mu \equiv$  Renormalization scale!

Dimensional

$$\left\{ \begin{aligned} m_R^2 &= m^2 + \frac{g}{32\pi^2} (-M^2 L) \\ \lambda_R &= \lambda - \frac{3g^2}{32\pi^2} L \end{aligned} \right\} \begin{aligned} & \text{Klein} \\ & L \rightarrow \frac{1}{\epsilon} + 0.577 \\ & -\ln(4\pi) \end{aligned}$$

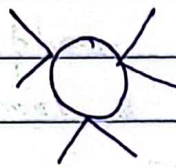
$\Lambda^2$  dependence scheme dependent

→ NOT physical

NOTE,  $M^2$  dependence (in  $m_R^2$ ) is physical & comes with the same coeff as like unphysical  $\Lambda^2$  dependence.

Common practice: Use cut off  $\Lambda$  as a proxy for physical mass dependence of heavy particles!

Also  $c_1 = \text{diagram} + \dots \sim g^3 + \dots$



$$\mathcal{L}_{eff} = -\frac{1}{2}(\partial\phi)^2 - \frac{1}{2}m^2\phi^2 - \frac{\lambda}{4}\phi^4$$

(20) ~~20~~

Trouble with the light scalars:

base mass gets contribution  $\sim M$  so  $\checkmark$

$m_R$  to be  $\mathcal{O}(m)$ , you need cancellation

$$m_R^2 \sim m^2 - gM^2$$

light mass unnatural.

Now  $m_R \ll M$  if  $g \ll 1$

So if in UV theory  $g \ll 1$  for some symmetry reason, then renormalized mass can be small!

- Higgs mass unnaturalness
  - Light inflaton mass " "
- }  $\rightarrow$

Note Divergences appear only in the renormalization of mass & coupling constant &  $M \rightarrow \infty$  limit, effects of heavy particle disappear

$$\mathcal{L}_R = -\frac{1}{2} (\partial\phi)^2 - \frac{1}{2} m_R^2 \phi^2 - \frac{1}{4!} \lambda_R \phi^4$$

Bottom Up:      Parametrizing ignorance

We may not know UV theory, so we cannot  
not "derive"  $\mathcal{L}_{eff}$  by integrating out fields  
↓

- ① - Make symmetry assumption of UV physics
- ② - Write most general  $\mathcal{L}$  consistent with symmetries

$$\mathcal{L}_{eff}[\phi] = \mathcal{L}_0(\phi) + \sum_i c_i \frac{O_i(\phi)}{\Lambda^{\delta_i-4}}$$

\* Point ① is not trivial as all low energy symmetry may NOT be realized at UV

\* How do we know  $c_i$  &  $\Lambda$ ?



"Naturalness" may guide !!



Calculate ~~loop corrections~~  $\mathcal{L}_2(\phi)$  with  $\Lambda$  being the cut-off scale

Calculate loop corrections to the parameters of  $\mathcal{L}_2(\phi)$  by taking  $\Lambda$  as cut off.

↓  
Renormalizable

Parameters are bottom-up natural ~~if~~ as long as their measured values are larger than the loop corrections

⊗ Increase  $\Lambda$ , and at some point  $\Lambda_{max}$  parameter values become unnatural.

New physics kicks in  $\Lambda \approx \Lambda_{max}$  and

modify effective theory & explain why the measured parameter is so small

"Unnatural" alternative is several cancellations between loops, bare term etc?



Electroweak Scale:

$$\Delta m_H^2 \sim \frac{y_t^2}{(4\pi)^2} \Lambda^2$$

with  $y_t \sim 1$ ,  $m_H \sim 125$  GeV

we need from "naturalness"  $\Lambda < 1.5 T_{EW}$

New physics should come around 1 TeV  
↓  
SUSY, Technicolors etc ✓

Dark Energy:

$$\langle T_{\mu\nu} \rangle = - \rho_{vac} g_{\mu\nu}$$

The vacuum of QFT with local Lorentz invariance

Quantum contributions to vacuum

$$\Delta \rho_{vac} \sim \Lambda^4$$

$$\rho_{vac}^{observed} \sim (10^{-3} \text{ eV})^4$$

Naturalness  $\Lambda_{new\ phys} \ll 10^{-3} \text{ eV}$

nothing like observed! ✓

Symmetries:

$m$  (light scales mass)  $\ll H$   
natural?

- SUSY in flat space:

In absence of symmetry  $\Delta m^2 \propto \Lambda^2$   
Unbroken SUSY  $\Delta m^2 = 0$   
(NOT renormalised)

But SUSY is broken during inflation

$$\Delta m^2 \sim H^2$$

- Global symmetries in flat space:

Consider global internal ~~sym~~ symmetry

See  $\mathcal{L}_I(\phi)$  has spontaneously broken global U(1)

↓  
Angular dir<sup>n</sup> (Goldstone)  $\phi \rightarrow \phi + \text{constant}$   
shift symmetry

Prohibits mass term.

Breaks shift symmetry mildly (approximate)

$$\Delta V = \frac{1}{2} m^2 \phi^2$$

$$m \ll \Lambda$$

$$\Delta m^2 \propto m^2$$

↙  
(loop correction  
to tree level.)

Also  $m \rightarrow 0$ , the  
exact symmetry is restored  
&  $\phi$  becomes massless.

↓  
Technically natural!

Smallness of symmetry breaking controls the renormalization

Point: Even if there are quantum corrections  
to the mass of  $H$ , the form of the potential is

$$\Delta V \sim \frac{m^2}{\Lambda^2} \phi^2$$

1.  $\eta$ -mixing - radiative corrections

$$\Delta m^2 \sim \frac{m^2}{\Lambda^2} \text{ with } m \ll \Lambda$$

$$\Delta \eta \sim \frac{\Lambda^2}{M^2}$$

(radiative  
corrections)

Inflation in EFT:

$$S_{\text{eff}}[\phi] = \int d^4x \sqrt{g} \left[ \frac{M_{\text{pl}}^2}{2} R + V_0(\phi) + \sum c_i \frac{\mathcal{O}_i}{\Lambda^{\delta_i-4}} \right]$$

Max value of  $\Lambda \sim M_{\text{pl}} \checkmark$

Even during horizon exit  $\omega = H$   
 $\omega = \frac{c_s k}{a}$

minimal cut off  $\Lambda \gtrsim H$

All fields of mass  $\ll H$  are part of EFT

Point Even irrelevant operators makes zeroth order dynamics altered!

UV sensitivity: —

to  $\eta$ -problem — radiative correction  $\checkmark$

$$\Delta m^2 \sim \Lambda^2 \quad \text{with } \Lambda > H$$

$$\Delta \eta \sim \frac{\Lambda^2}{H^2} \gtrsim 1 \quad (\text{sustained SR inflation not})$$

✓ Introduce SUSY ✓

BUT inflationary background break SUSY ✓  
spontaneously ✓

$$\omega \quad \rho_{inf} > 0$$

For  $\omega > H$  (ie  $\lambda < H^{-1}$ )

high frequency modes cancellations between bosons & fermion works. but for  $\omega \lesssim H$  do effect SUSY breaking.

$$\Delta m^2 \sim M_{susy} \sim H^2$$

$$\Delta \eta \sim 1 \checkmark$$

small field model  $E \ll \eta$

$$\eta \approx \frac{1}{2} (\eta_s - 1) \sim 0.02$$

✓ Global symmetries :

Inf  $\mathcal{L}_E(\phi)$  respects  $\phi \rightarrow \phi + \text{const}$

ie no relevant or marginal operators that violate above ~~symmetry~~ symmetry

Loop does not drive corrections  $\wedge$  either  $\propto$  small breaking parameter.

$\eta$  - problem II :

Say at low energy  $\phi \rightarrow \phi + c$  realised!  
Then mass radiatively stable for small breaking

BUT the symmetry may be broken at UV and can not be addressed by looking  $\mathcal{L}_{eff}$  at low energy

~~At UV scale~~. Say  $V_2(\phi)$  respects approximate shift symmetry!

$\mathcal{O}_6 = c V_2(\phi) \frac{\phi^2}{\Lambda^2}$  breaks shift symmetry

Now if  $\phi < \Lambda$

$\Delta V$  due to  $\mathcal{O}_6 \ll V(\phi)$ .

potential is not changed much!

$\Delta\eta \approx 2c \left( \frac{M_{pl}}{\Lambda} \right)^2$

i.e.  $c \sim \mathcal{O}(1)$  &  $\Lambda < M_{pl}$  solve  $\eta$

Overall  $V_2(\phi)$  cancels, i.e. problem independent

In general  $\mathcal{O}_8 = c \langle V \rangle \left( \frac{\phi}{\Lambda} \right)^{\delta-4}$  — (A)

30

Vacuum energy during inflation!

$$\Delta\eta \approx c (\delta-4) (\delta-5) \left( \frac{M_{pl}}{\Lambda} \right)^2 \left( \frac{\phi}{\Lambda} \right)^{\delta-6}$$

If  $\Lambda = M_{pl}$  &  $\phi < \Lambda$ ,  $\delta \gg 6$  negligible.

Small field models up to dimension six  
OK!

if  $\phi \ll \Lambda$ , but with  $\Lambda < M_{pl}$ ,  $\delta \gg 6$   
will also contribute.

→ Model dependent ✓

• Why operators (A) or dim 6 operators does not exist, re-examine understanding of UV.

• When a symmetry is assumed in EFT, one must demonstrate that symmetry survives non-renormalizable corrections in the context of UV completion!

$\eta$ -problem in SUGRA:

Assume  $\phi$  some single complex scalar in a chiral multiplet ✓

$$\mathcal{L} = -\kappa \phi \bar{\phi} \partial_\mu \phi \sigma^\mu \bar{\phi} - e^{\kappa/M_{pl}^2}$$

$$\left[ \kappa \phi \bar{\phi} D_\phi W \overline{D_\phi W} - \frac{3}{M_{pl}^2} [W \kappa] \right]$$

$$D_i W = \partial_i W + \frac{1}{M_{pl}^2} W \partial_i \kappa$$

Expand around reference location  $\phi=0$

$$\kappa(\phi) = \kappa(\phi=0) + \kappa_{\phi \bar{\phi}}(0) \phi \bar{\phi}$$

$$\mathcal{L} = \partial_\mu \phi \sigma^\mu \bar{\phi} - V(0) \left( 1 + \frac{\phi \bar{\phi}}{M_{pl}^2} + \dots \right)$$

$$m_\phi^2 = \frac{V''(0)}{M_{pl}^2} + \dots = 3H^2 + \dots$$

$$\eta = 1 + \dots$$

Solution:  $\phi \rightarrow \phi + i\epsilon M$

$$\kappa = \kappa(\phi + \phi^*) = \kappa(\phi_R)$$



$\text{Im}(\phi) \equiv \varphi$  is free of  $e^k$  32

$W = m\phi^2$  broken shift symmetry

Does not work! ✓

$$W = m \times \overline{\phi}$$

argument 'm breaks shift symmetry in more fundamental theory!

$$V(\phi, \alpha) = \frac{1}{2} m^2 \phi^2 + m^4 \alpha^2$$

Natural Inflation : Pseudoscalar axion

Perturbative level axion enjoys a continuous shift symmetry

broken nonperturbatively to discrete symm leading to

$$V(\phi) = \frac{V_0}{2} \left( 1 - \cos\left(\frac{\phi}{f}\right) \right)$$

## ◦ Coherent Phases & Super-horizon Perturbations:

★ Peaks of TT spectrum:

Inflation produces nearly scale invariant spectrum

$$\langle R_{\vec{k}} R_{\vec{k}'} \rangle = (2\pi)^3 \delta(\vec{k} + \vec{k}') P_R(k)$$

where  $k^3 P_R(k) \propto k^{n_s - 1}$   $n_s \approx 1$

You can measure the spectrum and find  $n_s \approx 1$



This part broadly agrees!

★ striking part  $\Rightarrow$  All Fourier modes have same phase  $\nu$

Consider a Fourier mode  $\lambda = \frac{2\pi}{k}$   
 $= 2\pi/k$

- inside horizon mode oscillates

- stretched

$\rightarrow$  exit horizon

$$\lambda_{\text{phy}} = \lambda a > H^{-1}$$

Amplitude freezes

Re enter

Once inside horizon <sup>primordial</sup> fluctuations source density fluctuations ^

$$\ddot{\delta} + c_s^2 \nabla^2 \delta = F_s [R]$$

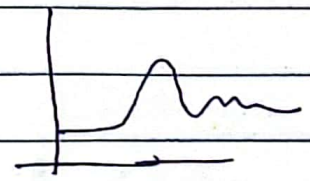
↑ Gravitational source term!

Fluctuations oscillates

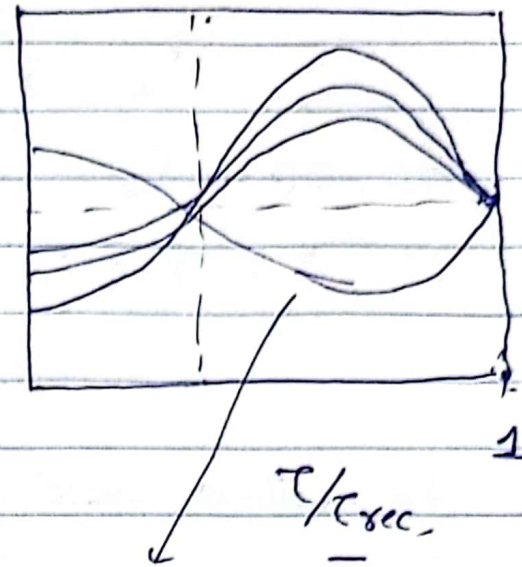
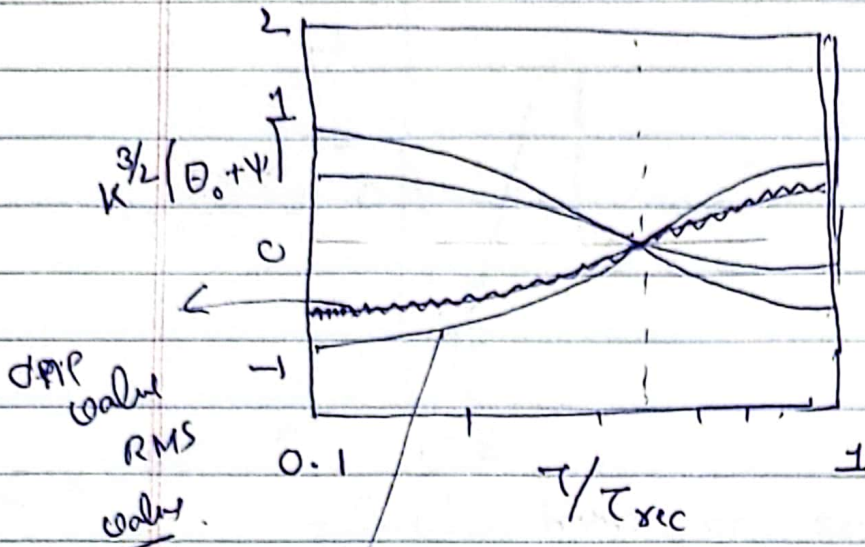
(Photon + Baryon) strongly coupled in the plasma → At recombination, we get the snapshot of fluctuations.

- Modes of a CERTAIN wavelength would be captured with different amplitude
- IF ALL ~~the~~ modes are in phase, they interfere coherently ✓  
→ will be captured at max, min or zero

This is what we see



Inflation produces that coherent initial phase!



1st CMB peak.  
 $\lambda$  corresponding to first peak

$\lambda$  corresponding to first trough

with random phase  $\rightarrow$  "white noise"

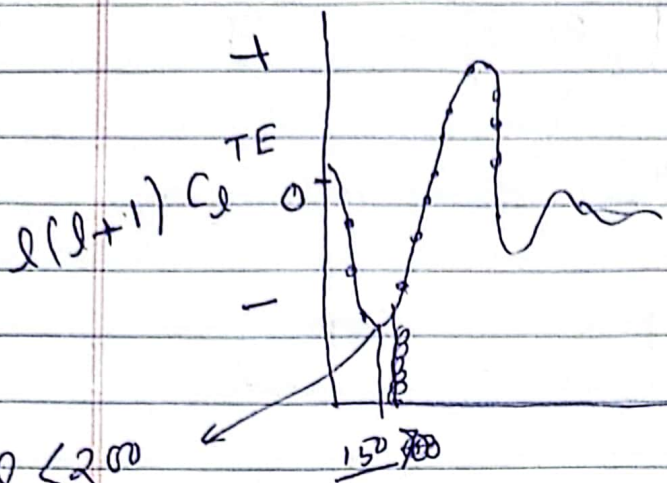
★  $l < 100$  TE spectrum:

Note CMB peak etc for  $l > 200$

these modes are within horizon during CMB

$\rightarrow$  It is in principle possible to do some local physics after horizon reentry and make them in phase.

(Fine tuned)  $\rightarrow$  90's



$$\theta > 1'$$

$$l \sim 50 - 200$$

$$5'' > \theta > 1' \checkmark$$

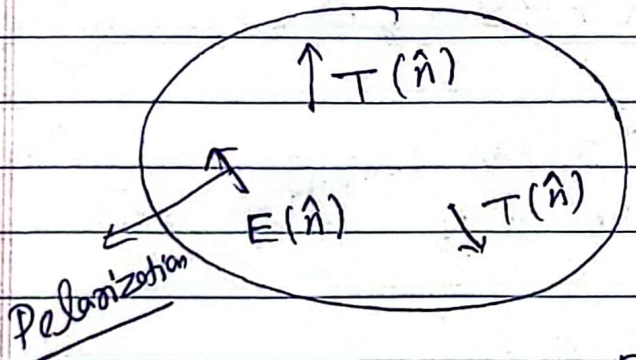
$$100 < l < 200$$

fluctuations on superhorizon scales at the epoch of decoupling ✓

-ve peak around  $100 < l < 200$  ✓

Anti correlation is also a result of phase coherence → But now scales are super Hubble → no causal mechanism.

EMB



At large scale

T & polarization anti correlated

$$\left\langle \left( \int_{\Omega} T_{lm} \int_{\Omega} E_{lm} \right) \right\rangle \sim C_l^{TE}$$

F.T. of  $\nabla \cdot E$

$\Delta T \sim S_{\gamma\gamma}$  (density of electron, baryon, photon (minuscule) & radiation blackbody)

Enrico Pajer: ICTP ~~and~~ Cosmology School (Online)

37

$$E \sim (\partial \vartheta_\gamma) \quad (\text{dipole}) \quad \leftarrow \text{leading order}$$

↓ gradient of the velocity of fluid

$$C_\ell^{TE} \sim \langle \delta_\gamma (\partial \vartheta_\gamma) \rangle \quad \leftarrow \text{observations.}$$

$$\delta_\gamma = A \cos(\omega t + \phi) e^{i\mathbf{k}\cdot\mathbf{x}}$$

$$\text{Continuity } e^{i\mathbf{k}\cdot\mathbf{x}} \quad \partial \vartheta_\gamma = -\dot{\delta}_\gamma$$

$$= -A\omega \sin(\omega t + \phi) e^{i\mathbf{k}\cdot\mathbf{x}}$$

A &  $\phi$  stochastic variables

Averaging over the whole possible  $\omega$  &  $\phi$  universe

$$\langle \delta_\gamma \partial \vartheta_\gamma \rangle = \langle A A^* \rangle \int_0^{2\pi} \frac{d\phi}{2\pi} \sin(\phi) \cos(\phi)$$

Say different realisation of the universe phases are random

i.e.  $\phi$  is random between  $0$  &  $2\pi$

zero ~~is not zero~~ → NOT negative

~~Number~~  $I_{\text{Hubble}}$  (Hubble at lens scattering)  $\sim 70^\nu$

$D=150$  is just twice as short → entered just