

**A SUFFICIENT CONDITION FOR  $(\theta^N)_N$  TO HAVE A  
DISTRIBUTION MODULO ONE, WHEN  $\theta$  IS IN  $\mathbb{F}_2(X)$**

**J-M. Deshouillers**

*Université de Bordeaux, Bordeaux INP et CNRS, UMR 5251, 33405 TALENCE  
Cedex, France*

`jean-marc.deshouillers@math.u-bordeaux.fr`

**R. Thangadurai**

*Harish-Chandra Research Institute, HBNI, Chhatnag Road, Jhansi, Allahbad,  
211019, India*

`thanga@hri.res.in`

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**Abstract**

Let  $\theta$  be a given element in  $\mathbb{F}_2(X)$ . In this article, we give a sufficient condition for the sequence  $(\theta^n)_{n \geq 0}$  to have a distribution modulo 1.

**1. Introduction**

Many number theoretic problems have natural counterparts in the domain of function fields. We are concerned here with the question of the distribution modulo 1 of the powers of an element  $\theta \in \mathbb{F}_q(X)$ , the counterpart of the question of the distribution modulo 1 of  $(3/2)^n$ . The reader will notice that the method and result of this note can easily be extended to the case of an algebraic element over  $\mathbb{F}_q(X)$ ; since our result is only partial, we see no interest in stating it in a more general form, as long as generalisation does not bring a better understanding.

Let us start by giving some definition. We denote  $\mathbb{F}_q((X))$  by the set of all the Laurent expansions

$$\eta = \sum_{k \geq -k_0} \varepsilon_k(\eta) X^k, \quad k_0 \in \mathbb{N} \text{ and } \varepsilon_k(\eta) \in \mathbb{F}_q.$$

It is a field which contains  $\mathbb{F}_q(X)$ .

**Definition 1** (Densities). *Let  $\theta \in \mathbb{F}_q((X))$ . We say that the sequence  $(\theta^n)_{n \geq 0}$  has a distribution modulo 1 if for any  $L \geq 1$  and for any  $b_L \in \mathbb{F}_q^L$ , the sequence*

$$\mathcal{N}(\theta, b_L) = \{n \in \mathbb{N} : (\varepsilon_1(\theta^n), \dots, \varepsilon_L(\theta^n)) = b_L\} \tag{1}$$

*has an asymptotic density, i.e., if the following limit*

$$\lim_{x \rightarrow \infty} \frac{1}{x} \text{Card} \{n \leq x : n \in \mathcal{N}(\theta, b_L)\} \tag{2}$$

*exists.*

*Similarly, we say that the sequence  $(\theta^n)_{n \geq 0}$  has a logarithmic distribution modulo 1 if for any  $L \geq 1$  and for any  $b_L \in \mathbb{F}_q^L$ , the sequence  $\mathcal{N}(\theta, b_L)$  has a logarithmic density, i.e., if the following limit*

$$\lim_{x \rightarrow \infty} \frac{1}{\log x} \sum_{n \in \mathcal{N}(\theta, b_L), n \leq x} \frac{1}{n} \tag{3}$$

*exists.*

Houndonougbo proved in [5] the existence of the distribution modulo 1 of the sequence  $(\theta^n)_{n \geq 0}$ , where  $\theta = P(X)^\mu + 1/P(X)^\nu$  for positive integers  $\mu$  and  $\nu$  and  $P$  a non constant polynomial in  $\mathbb{F}_q[X]$ ; he indeed showed more, namely that the sequence  $\mathcal{N}(\theta, (0, 0, \dots, 0))$  has density 1. Deshouillers proved in [4] that the sequence  $(\theta^n)_{n \geq 0}$  also has a distribution modulo 1 when  $\theta = P(X)/X^\nu$ , i.e. when the Laurent expansion of  $\theta$  is finite: he showed that for any  $b_L$  the sequence  $\mathcal{N}(\theta, b_L)$  is  $q$ -automatic and that it has a density. Allouche and Deshouillers proved in [1] that for any  $\theta$  algebraic over  $\mathbb{F}_q(X)$ , the sequence  $\mathcal{N}(\theta, b_L)$  is  $q$ -automatic; by a general result of Cobham [3], this implies that the sequence  $(\theta^n)_{n \geq 0}$  has a logarithmic distribution modulo 1, but the existence of a distribution modulo 1 is still an open question.

Our aim is to provide a criterion which is sufficient to prove the existence of the distribution modulo 1 of  $(\theta^n)_{n \geq 0}$ . We made some ten hand numerical experiments on  $\theta$  with an infinite Laurent expansion; in the cases we considered, this criterion turned out to be satisfied and indeed led to a limit distribution which is the Dirac measure at 0.

From now on, we assume that  $q = 2$  and that  $\theta \in \mathbb{F}_2(X)$ . In order to describe the 2-automata which generate the sequences  $\mathcal{N}(\theta, b_L)$  we follow [1] and first introduce some definition.

For  $n \geq 0$ , we consider the Laurent expansions

$$\theta^n = \sum_{k \geq -k_0(n)} \varepsilon_k(\theta^n) X^k.$$

Since  $\theta$  is rational, its expansion is ultimately periodic and the following definition makes sense.

**Definition 2** (Parameter). *The parameter of an element  $\theta$  in  $\mathbb{F}_2(X)$  is the smallest even positive integer  $T$  satisfying*

$$\varepsilon_{-h}(\theta) = 0 \text{ if } h \geq T$$

and

$$\varepsilon_{h+T}(\theta) = \varepsilon_h(\theta) \text{ if } h \geq T.$$

From now on we denote  $T$  as the parameter of  $\theta$ . For  $n \geq 0$  and  $K, L \geq 0$ , we define

$$\mathcal{B}(n, K, L) = (\varepsilon_{-K}(\theta^n), \varepsilon_{-K+1}(\theta^n), \dots, \varepsilon_{L-1}(\theta^n), \varepsilon_L(\theta^n)) \in \mathbb{F}_2^{L+1+T}, \tag{4}$$

$$\mathcal{B}(n, L) = \mathcal{B}(n, T, L) \tag{5}$$

and

$$\mathcal{M}(n) = (m(n, 0), \dots, m(n, T-1)) \in \mathbb{F}_2^T, \tag{6}$$

$$\text{where, for } t \in \mathbb{Z}: m(n, t) = \sum_{h=0}^{\infty} \varepsilon_{-t-hT}(\theta^n) \in \mathbb{F}_2,$$

which is well defined since this sum contains only a finite number of non-zero elements.

The key ingredient in [1] is the fact that, for  $L \geq T$ , the two  $(2T + L + 1)$ -tuples  $(\mathcal{M}(2n), \mathcal{B}(2n, L))$  and  $(\mathcal{M}(2n + 1), \mathcal{B}(2n + 1, L))$  only depend on  $(\mathcal{M}(n), \mathcal{B}(n, L))$ . Since [1] is not easily available, we give here a proof of this fact.

**Proposition 1.** *Let  $L \geq T$ ; there exist two maps  $\rho$  and  $\tau$  from  $\mathbb{F}_2^{2T+L+1}$  into itself such that for every  $n \geq 0$  one has,*

$$(\mathcal{M}(2n), \mathcal{B}(2n, L)) = \rho((\mathcal{M}(n), \mathcal{B}(n, L))), \tag{7}$$

$$(\mathcal{M}(2n + 1), \mathcal{B}(2n + 1, L)) = \tau((\mathcal{M}(n), \mathcal{B}(n, L))). \tag{8}$$

*Proof.* We first observe that

$$\forall k \in \mathbb{Z}: \varepsilon_{2k}(\theta^{2n}) = \varepsilon_k(\theta^n), \tag{9}$$

$$\forall k \in \mathbb{Z}: \varepsilon_{2k+1}(\theta^{2n}) = 0, \tag{10}$$

$$\text{For } t \text{ even in } [0, T]: m(2n, t) = m(n, t/2) + m(n, t/2 + T/2), \tag{11}$$

$$\text{For } t \text{ odd in } [0, T]: m(2n, t) = 0. \tag{12}$$

This implies that as soon as one knows  $\mathcal{B}(n, L)$ , all the coefficients of  $\theta^{2n}$  with indices between  $-2T - 1$  and  $2L + 1$  are known: so are  $\mathcal{B}(2n, 2T + 1, 2L + 1)$  and *a fortiori*  $\mathcal{B}(2n, L)$ . Similarly, the knowledge of  $\mathcal{M}(n)$  implies that of  $\mathcal{M}(2n)$ . This implies (7).

We noticed that the knowledge of  $\mathcal{B}(n, L)$  gives us that of  $\mathcal{B}(2n, 2T + 1, 2L + 1)$ . Let us show that the knowledge of  $\mathcal{B}(n, L)$  and of  $\mathcal{M}(n)$  gives us the knowledge of

$$m(2n, t) = \sum_{h=0}^{\infty} \varepsilon_{-t-hT}(\theta^{2n}) \text{ for } t \in [-2L - T - 1, 3T + 1]. \tag{13}$$

Indeed, if  $t \in [0, T - 1]$ , then  $m(2n, t)$  is an element of  $\mathcal{M}(2n)$ ; Otherwise  $m(2n, t)$  is an element of  $\mathcal{M}(2n)$  which is modified by a few terms which belong to  $\mathcal{B}(2n, 2T + 1, 2L + T + 1)$ , *e.g.*  $m(2n, -2) = \varepsilon_2(\theta^{2n}) + m(2n, T - 2)$ ,  $m(2n, T) = -\varepsilon_0(\theta^{2n}) + m(2n, 0)$ .

For any  $k$  we have

$$\begin{aligned} \varepsilon_k(\theta^{2n+1}) &= \sum_{r=-\infty}^{+\infty} \varepsilon_{-T+r}(\theta) \varepsilon_{k+T-r}(\theta^{2n}) = \sum_{r=0}^{+\infty} \varepsilon_{-T+r}(\theta) \varepsilon_{k+T-r}(\theta^{2n}) \\ &= \sum_{r=0}^{2T-1} \varepsilon_{-T+r}(\theta) \varepsilon_{k+T-r}(\theta^{2n}) + \sum_{r=2T}^{\infty} \varepsilon_{-T+r}(\theta) \varepsilon_{k+T-r}(\theta^{2n}) \\ &= \sum_{r=0}^{2T-1} \varepsilon_{-T+r}(\theta) \varepsilon_{k+T-r}(\theta^{2n}) + \sum_{\nu=0}^{T-1} \varepsilon_{T+\nu}(\theta) \sum_{\substack{r \geq 2T \\ r \equiv \nu \pmod T}} \varepsilon_{k+T-r}(\theta^{2n}) \\ &= \sum_{r=0}^{2T-1} \varepsilon_{-T+r}(\theta) \varepsilon_{k+T-r}(\theta^{2n}) + \sum_{\nu=0}^{T-1} \varepsilon_{T+\nu}(\theta) m(2n, T + \nu - k). \end{aligned}$$

The last relation shows that as soon as one knows  $\mathcal{B}(n, L)$  and  $\mathcal{M}(n, L)$  (and the digits of  $\theta$  with indices between  $-T$  and  $2T$  which are our initial data), we have enough information to determine  $\mathcal{B}(2n + 1, L)$  (cf. (13) and the fact that for  $k \in [-T, L]$  we have  $T + \nu - k \in [T - L, 3T - 1] \subset [-2L - T - 1, 3T + 1]$ ).

We finally study  $\mathcal{M}(2n + 1)$ . Let  $t \in [0, T - 1]$ . Reasoning as above, we have.

$$\begin{aligned} m(2n + 1, t) &= \sum_{h=0}^{\infty} \sum_{r=0}^{2T-1} \varepsilon_{-T+r}(\theta) \varepsilon_{-t-r-(h-1)T}(\theta^{2n}) \\ &\quad + \sum_{h=0}^{\infty} \sum_{r=2T}^{\infty} \varepsilon_{-T+r}(\theta) \varepsilon_{-t-r-(h-1)T}(\theta^{2n}) \\ &= S_1 + S_2, \text{ say.} \end{aligned}$$

By interchanging the sums in the first term on the right hand side, we see that it

is equal to

$$S_1 = \sum_{r=0}^{2T-1} \varepsilon_{-T+r}(\theta) m(2n, t+r-T). \tag{14}$$

Since  $r \in [0, 2T - 1]$  and  $t \in [0, T - 1]$ , we have  $-T \leq t+r-T \leq 2T-2$  and thus the term in (14) is known as soon as  $\mathcal{M}(n)$  is known. Let us look at the second term. We have

$$\begin{aligned} S_2 &= \sum_{h=0}^{\infty} \sum_{r=2T}^{\infty} \varepsilon_{-T+r}(\theta) \varepsilon_{-t-r-(h-1)T}(\theta^{2n}) \\ &= \sum_{h=0}^{\infty} \sum_{s=T}^{\infty} \varepsilon_s(\theta) \varepsilon_{-t-s-hT}(\theta^{2n}) \\ &= \sum_{h=0}^{\infty} \sum_{\nu=0}^{T-1} \sum_{\substack{r>T \\ s \equiv \nu \pmod{T}}} \varepsilon_s(\theta) \varepsilon_{-t-s-hT}(\theta^{2n}). \end{aligned}$$

We use the periodicity of the digits of  $\theta$  and write  $s = \nu + T + kT$ . We have

$$\begin{aligned} S_2 &= \sum_{\nu=0}^{T-1} \varepsilon_{T+\nu}(\theta) \sum_{h=0}^{\infty} \sum_{k=0}^{\infty} \varepsilon_{-t-\nu-T-(h+k)T}(\theta^{2n}) \\ &= \sum_{\nu=0}^{T-1} \varepsilon_{T+\nu}(\theta) \sum_{\ell=0}^{\infty} \left( \sum_{\substack{h \geq 0, k \geq 0 \\ h+k=\ell}} 1 \right) \varepsilon_{-t-\nu-T-\ell T}(\theta^{2n}). \end{aligned}$$

It is enough to consider each inside sum over  $\ell$ . We notice that if  $t + \nu$  is odd, then all the terms  $\varepsilon_{-t-\nu-T-\ell T}(\theta^{2n})$  are zero and so is the sum of those terms over  $\ell$ . We also notice that the sum  $\sum_{\substack{h \geq 0, k \geq 0 \\ h+k=\ell}} 1$  is equal to 1 when  $\ell$  is even and to 0 when  $\ell$  is odd. Combining those two remarks and writing  $\ell = 2\lambda$ , we have, when  $t + \nu$  is even

$$\begin{aligned} &\sum_{\ell=0}^{\infty} \left( \sum_{\substack{h \geq 0, k \geq 0 \\ h+k=\ell}} 1 \right) \varepsilon_{-t-\nu-T-\ell T}(\theta^{2n}) = \sum_{\lambda=0}^{\infty} \varepsilon_{-(\nu+t+T)/2-\lambda T}(\theta^n) \\ &= m(n, (\nu+t+T)/2); \end{aligned}$$

when  $\nu + t + T \leq 2T$ , then  $m(n, (\nu + t + T)/2)$  is an element in  $\mathcal{M}(n)$ ; otherwise, we write  $m(n, (\nu + t + T)/2) = m(n, (\nu + t - T)/2) - \varepsilon_{(T-\nu-t)/2}(\theta^n)$ , which the difference of an element of  $\mathcal{M}(n)$  and an element of  $\mathcal{B}(n, L)$ .

Thus  $S_2$  is also known as soon as  $\mathcal{B}(n, L)$  and  $\mathcal{M}(n)$  are known. This ends the proof of Proposition 1. □

This permits to build a directed graph  $\Gamma_L$  with edges indexed by 0 or 1 as follows. We first consider the set of vertices

$$\mathcal{R}_L = \{(\mathcal{M}(n), \mathcal{B}(n, L)) : n \geq 0\}. \tag{15}$$

We then build two edges starting from  $r_L \in \mathcal{R}_L$  depending on  $\varepsilon = 0$  or  $\varepsilon = 1$  in the following way: since  $r_L = (\mathcal{M}(n), \mathcal{B}(n, L))$  for some integer  $n \geq 0$ , for each  $\varepsilon \in \{0, 1\}$ , we define the state

$$\delta_L(r_L, \varepsilon) := (\mathcal{M}(2n + \varepsilon), \mathcal{B}(2n + \varepsilon, L)) \tag{16}$$

and the edge  $(r_L, \delta_L(r_L, \varepsilon))$ , which are well defined. The above-mentioned observation of [1] implies that our definition is indeed independent of the choice of  $n$  such that  $r_L = (\mathcal{M}(n), \mathcal{B}(n, L))$ . The reader who needs to refresh her/his knowledge on *Automatic Sequences* is strongly recommended to visit [2]<sup>1</sup>, specially subsections 4.1 and 5.1. The refreshment being performed, it is not difficult to see that the sequence  $\mathcal{N}(\theta, b_L)$  is 2-automatic: it is recognized by the automaton  $\mathcal{A}(b_L) = \{\mathcal{R}_L, \{0, 1\}, \delta_L, r_{0,L}, F(b_L)\}$ , where  $\mathcal{R}_L$  and  $\delta_L$  have already been defined,

$$r_{0,L} = (\mathcal{M}(0), \mathcal{B}(0, L))$$

and

$F(b_L)$  is the set of those  $r \in \mathcal{R}_L$ , the last  $L$  components of which are  $b_L$ .

It will be convenient to extend the function  $\delta_L$  to a new function still called  $\delta_L$ , defined over the words  $w$  on  $\{0, 1\}$ , satisfying

$$\forall r \in \mathcal{R}_L, \forall \varepsilon \in \{0, 1\}, \forall w \in \{0, 1\}^*: \delta_L(r, \emptyset) = r, \delta_L(r, \varepsilon w) = \delta_L(\delta_L(r, \varepsilon), w).$$

Let us recall a criterion on the graph  $\Gamma_L$  which insures that the sequence  $\mathcal{N}(\theta, b_L)$  has a density.

In the directed graph  $\Gamma_L$ , we say that two vertices  $r$  and  $s$  are *equivalent* if there is a directed path leading from  $r$  to  $s$  and a directed path leading from  $s$  to  $r$ ; this permits to consider *equivalent classes*, which form a tree, which leads to the notion of *final class*; we finally say that an equivalent class is *regular* if there exists an integer  $\ell$  such that for any pair  $(r, s)$ , there is a directed path of length  $\ell$  leading from  $r$  to  $s$ . We have the following criterion.

**Proposition 2.** *Let  $L \geq T$  be a given integer and  $b_L \in \mathbb{F}_2^L$  be a given vector. If the graph  $\Gamma_L$  of the automaton  $\mathcal{A}(b_L)$  has a single final class and if this class is regular, then, the sequence  $\mathcal{N}(\theta, b_L)$  has an asymptotic density.*

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<sup>1</sup>Thanks, Jeff, for this invaluable monography... and for the rest!

Theorem 8.4.7, p. 272 of [2] deals with the special case where all the states constitute a single final regular equivalence class. Proposition 2 is a mere extension of this result where the key tool is Perron-Frobenius theorem.

Back to our question, we notice that if  $u \leq v$ , then  $\mathcal{N}(\theta, b_u)$  is a finite union of sequences  $\mathcal{N}(\theta, b_v)$ , and it is thus enough for our purpose to consider the sequences  $\mathcal{N}(\theta, b_L)$  for all sufficiently large values of  $L$ .

We wish to prove here that if the criterion applies to an automaton  $\mathcal{A}(b_L)$ , it also applies to the automaton  $\mathcal{A}(b_{L+1})$ , which leads to the following

**Theorem 1.** *Let  $\theta$  be an element in  $\mathbb{F}_2(X)$  and let  $T$  be its parameter. If the graph  $\Gamma_T$  has a single final class and if this final class is regular, then the sequence  $(\theta^n)_{n \geq 0}$  has a distribution modulo 1.*

We remark that in the statement of Theorem 1, the automaton  $\mathcal{A}(b_T)$  only occurs through its graph  $\Gamma_T$ , which itself depends on  $\{\mathcal{R}_T, \delta_T, r_{0,T}\}$  but not on  $F(b_T)$ .

**Corollary 1.** *Let  $\theta$  be an element in  $\mathbb{F}_2(X)$  and let  $T$  be its parameter. If the graph  $\Gamma_T$  has a single equivalence class, then the sequence  $(\theta^n)_{n \geq 0}$  has a distribution modulo 1.*

## 2. Connection between the automata $\mathcal{A}(b_L)$ and $\mathcal{A}(b_{L+1})$

Our key tool to understand the connection between the automata  $\mathcal{A}(b_{L+1})$  and  $\mathcal{A}(b_L)$  is a natural map from  $\mathcal{R}_{L+1}$  onto  $\mathcal{R}_L$ ; we define it and give its main properties in the following proposition.

**Proposition 3.** *Let  $\theta$  and  $T$  be as in Theorem 1 and let  $L \geq T$ . The map  $\sigma_L$  from  $\mathcal{R}_{L+1}$  to  $\mathbb{F}_2^{2T+L+1}$ , defined by suppressing the last component of an element, has the following properties*

$$\sigma_L(\mathcal{R}_{L+1}) = \mathcal{R}_L, \tag{17}$$

$$\forall r \in \mathcal{R}_L, \text{ at most two elements } s \in \mathcal{R}_{L+1} \text{ such that } \sigma_L(s) = r, \tag{18}$$

$$\sigma_L(r_{0,L+1}) = r_{0,L}, \tag{19}$$

$$\forall r \in \mathcal{R}_{L+1}, \text{ and } \varepsilon \in \{0, 1\}, \text{ we have } : \sigma_L(\delta_{L+1}(r, \varepsilon)) = \delta_L(\sigma_L(r), \varepsilon). \tag{20}$$

*Proof.* By definition, cf. (15), for a state  $r_{L+1}$  in  $\mathcal{R}_{L+1}$ , there exists an integer  $n$  such that  $r_{L+1}$  is the  $(2T+L+2)$ -tuple  $(\mathcal{M}(n), \mathcal{B}(n, L+1))$ . If we suppress its last component we get the  $(2T+L+1)$ -tuple  $(\mathcal{M}(n), \mathcal{B}(n, L))$ , which is an element of  $\mathcal{R}_L$ . In the other direction, if we start with an element  $r_L$  in  $\mathcal{R}_L$ , there exists an  $n$  such that  $r_L = (\mathcal{M}(n), \mathcal{B}(n, L))$ , and for this  $n$  we have  $r_L = \sigma_L((\mathcal{M}(n), \mathcal{B}(n, L+1)))$ .

Thus, the suppression of the last component defines the map  $\sigma_L$  which satisfies the Property (17). Property (18) comes from the fact that the last component of an element of  $\mathcal{R}_{L+1}$  belongs to  $\{0, 1\}$ . We have  $\sigma_L(r_{0,L+1}) = \sigma_L((\mathcal{M}(0), \mathcal{B}(0, L + 1))) = (\mathcal{M}(0), \mathcal{B}(0, L)) = r_{0,L}$ , which proves Property (19). Finally, let  $r \in \mathcal{R}_{L+1}$ , there exists an integer  $n$  such that  $r = (\mathcal{M}(n), \mathcal{B}(n, L + 1))$ ; for  $\varepsilon \in \{0, 1\}$ , we have, cf. (16),  $\delta_{L+1}(r, \varepsilon) := (\mathcal{M}(2n + \varepsilon), \mathcal{B}(2n + \varepsilon, L + 1))$  and so  $\sigma_L(\delta_{L+1}(r, \varepsilon)) = \sigma_L((\mathcal{M}(2n + \varepsilon), \mathcal{B}(2n + \varepsilon, L + 1))) = (\mathcal{M}(2n + \varepsilon), \mathcal{B}(2n + \varepsilon, L)) = \delta_L(\sigma_L(r), \varepsilon)$ , which proves (20).  $\square$

We say that  $r_{L+1} \in \mathcal{R}_{L+1}$  is *sitting above*  $r_L$  for some  $r_L \in \mathcal{R}_L$ , if  $\sigma(r_{L+1}) = r_L$ .

**Claim 1.** *Assume that  $\mathcal{C}_L$  is the unique final class in  $\Gamma_L$  and let  $\mathcal{C}_{L+1}$  be one of the final classes of  $\Gamma_{L+1}$ . Then any element of  $\mathcal{C}_{L+1}$  is sitting above some element of  $\mathcal{C}_L$ .*

*Proof.* Let  $r_{L+1} \in \mathcal{C}_{L+1}$  be a given element. Then we have,  $\sigma_L(r_{L+1}) \in \mathcal{R}_L$ . If  $\sigma_L(r_{L+1}) \in \mathcal{C}_L$ , then we are done. If not, then there exists a word  $w \in \{0, 1\}^*$  such that  $\delta_L(\sigma_L(r_{L+1}), w) \in \mathcal{C}_L$ . Since  $r_{L+1}$  belongs to  $\mathcal{C}_{L+1}$  which is a final class, the state  $\delta_{L+1}(r_{L+1}, w)$  belongs to  $\mathcal{C}_{L+1}$ . Therefore, there exists a word  $w' \in \{0, 1\}^*$  such that  $\delta_{L+1}(r_{L+1}, ww') = r_{L+1}$ . Thus, by the definition of  $\mathcal{C}_L$ , we see that  $\delta_L(\sigma_L(r_{L+1}), ww') \in \mathcal{C}_L$ . Since  $r_{L+1}$  is sitting above  $\sigma_L(r_{L+1})$ , by (20) we conclude that  $r_{L+1} = \delta_{L+1}(r_{L+1}, ww')$  is sitting above  $\delta_L(\sigma_L(r_{L+1}), ww') \in \mathcal{C}_L$ .  $\square$

Now, we look at a converse of Claim 1.

**Claim 2.** *Assume that  $\mathcal{C}_L$  is the unique final class in  $\Gamma_L$  and let  $\mathcal{C}_{L+1}$  be one of the final classes of  $\Gamma_{L+1}$ . For any element  $r \in \mathcal{C}_L$ , there is an element  $s \in \mathcal{C}_{L+1}$  which is sitting above  $r$ .*

*Proof.* Let  $r$  be an element in  $\mathcal{C}_L$  and  $t$  an element in  $\mathcal{C}_{L+1}$ . There exists a word  $w \in \{0, 1\}^*$  such that  $\delta_L(\sigma_L(t), w) \in \mathcal{C}_L$ . Since  $r \in \mathcal{C}_L$ , there exists a word  $w'$  such that  $\delta_L(\sigma_L(t), ww') = r$ . Now, we look at  $\delta_{L+1}(t, ww')$ . Since  $t \in \mathcal{C}_{L+1}$ , we conclude that  $\delta_{L+1}(t, ww') \in \mathcal{C}_{L+1}$ . Since  $t$  is sitting above  $\sigma_L(t)$ , by (20), we have  $\delta_{L+1}(t, ww') \in \mathcal{C}_{L+1}$  is sitting above  $r$ .  $\square$

**Claim 3.** *Assume that  $\mathcal{C}_L$  is the unique final class in  $\Gamma_L$ . If  $\mathcal{C}_{L+1}$  is a final class in  $\Gamma_{L+1}$ , then  $|\mathcal{C}_{L+1}| \geq |\mathcal{C}_L|$ .*

*Proof.* If  $r \neq s$  are two different elements in  $\mathcal{C}_L$ , then, by Claim 2, there exist two elements  $r', s'$  of  $\mathcal{C}_{L+1}$  such that  $r'$  is sitting above  $r$  and  $s'$  is sitting above  $s$ . Since  $r \neq s$ , by the definition, we see that  $r' \neq s'$ . Hence the claim.  $\square$

**Claim 4.** *Assume that  $\mathcal{C}_L$  is the unique final class in  $\Gamma_L$ . Then there can be at most two distinct final classes in  $\Gamma_{L+1}$ .*



*Proof.* We first remark that two classes are distinct if and only if they are disjoint; now, the claim simply follows from Claim 3 and (18): each final class has at least  $|\mathcal{C}_L|$  elements and for any element  $r$  in  $\mathcal{C}_L$  we can have at most two elements of  $\mathcal{R}_{L+1}$  sitting above  $r$ .  $\square$

**Claim 5.** *Assume that  $\mathcal{C}_L$  is the unique final class in  $\Gamma_L$ . Suppose that  $\mathcal{C}_{L+1}^{(1)}$  and  $\mathcal{C}_{L+1}^{(2)}$  are two distinct final classes in  $\Gamma_{L+1}$  sitting above  $\mathcal{C}_L$ . They are disjoint and for every element  $r \in \mathcal{C}_L$ , there is exactly one element  $r_1 \in \mathcal{C}_{L+1}^{(1)}$  and one element  $r_2 \in \mathcal{C}_{L+1}^{(2)}$  which are sitting above  $r$ . Moreover, for any  $(r_1, r_2) \in \mathcal{C}_{L+1}^{(1)} \times \mathcal{C}_{L+1}^{(2)}$  and for any word  $w \in \{0, 1\}^*$ , we have*

$$\delta_{L+1}(r_1, w) \neq \delta_{L+1}(r_2, w). \tag{21}$$

*Proof.* Since the two classes  $\mathcal{C}_{L+1}^{(1)}$  and  $\mathcal{C}_{L+1}^{(2)}$  are distinct, they are disjoint. By Claim 2, above each element  $r \in \mathcal{C}_L$ , there exist  $r_1 \in \mathcal{C}_{L+1}^{(1)}$  and  $r_2 \in \mathcal{C}_{L+1}^{(2)}$  sitting above  $r$ ; since the two classes are disjoint, we have  $r_1 \neq r_2$ . By (18), this implies that above  $r$ , there can be only one element from each of the  $\mathcal{C}_{L+1}^{(i)}$ . The last assertion follows the fact that for any word  $w$ , the element  $\delta_{L+1}(r_i, w)$  is in  $\mathcal{C}_{L+1}^{(i)}$ .  $\square$

### 3. Proof of Theorem 1

By Proposition 2, it is enough to prove that for any  $L \geq T$  and any  $b_L$ , the graph  $\Gamma_L$  of the automaton  $\mathcal{A}(b_L)$  has a single final class, and this class is regular. Let us recall that  $\Gamma_L$  is independent of  $b_L$ . We shall prove our assertion by induction on  $L$ .

The assumption of Theorem 1 is simply the case  $L = T$ : the graph  $\Gamma_T$  has a single final class and this class is regular.

Let us assume that for some  $L \geq T$ , the graph  $\Gamma_L$  has a single final class and this class is regular; let  $\mathcal{C}_L$  be this class.

We first prove that the graph  $\Gamma_{L+1}$  has a single final class. By Claim 1, any single final class of  $\Gamma_{L+1}$  is sitting above  $\mathcal{C}_L$ ; thus by Claim 4, there are at most two final classes in  $\Gamma_{L+1}$ . If we have indeed two distinct final classes, then we can apply Claim 5: let  $r$  be an element in  $\mathcal{C}_L$ : there exist two elements  $r_1$  and  $r_2$  which are sitting above  $r$  and which belong to the two different classes above  $\mathcal{C}_L$ . Choose an integer  $h$  such that  $2^h > L + 1$  and consider a word  $w$  consisting of  $h$  zeroes. By (20), the elements  $\delta_{L+1}(r_i, w)$  are sitting above  $\delta_L(r, w)$  for  $i = 1$  and  $2$ . This means that they differ at most by their last digit. Let  $n_1$  and  $n_2$  be two integers such that

$$r_i = (\mathcal{M}(n_i), \mathcal{B}(n_i, L + 1)) \text{ for } i = 1, 2.$$

Then, we have

$$\begin{aligned} \delta_{L+1}(r_i, w) &= (\mathcal{M}(n_i 2^h), \mathcal{B}(n_i 2^h, L+1)) \\ &= (\mathcal{M}(n_i 2^h), \mathcal{B}(n_i 2^h, L) \circ \varepsilon_{L+1}(\theta^{n_i 2^h})) \end{aligned}$$

for  $i = 1, 2$ , where the symbol  $\circ$  represents the concatenation. Since  $2^h > L + 1$ , we have

$$\varepsilon_{L+1}(\theta^{n_1 2^h}) = \varepsilon_{L+1}(\theta^{n_2 2^h}) = 0,$$

which implies

$$\delta_{L+1}(r_1, w) = \delta_{L+1}(r_2, w),$$

a contradiction to Claim 5. Hence, there is only one final class in the graph  $\Gamma_{T+1}$ .

Let  $\mathcal{C}_{L+1}$  be the unique final class in  $\Gamma_{L+1}$ . It remains to show that this class is regular.

If  $|\mathcal{C}_{L+1}| = |\mathcal{C}_L|$ , then, by Claim 2, for every  $r_L \in \mathcal{C}_L$ , there is exactly only one  $r_{L+1} \in \mathcal{C}_{L+1}$  such that  $r_{L+1}$  is sitting above  $r_L$  and conversely. Therefore, by (20),  $\delta_{L+1}(r_{L+1}, w)$  is sitting above  $\delta_L(r_L, w)$  for every word  $w$ . Since  $\mathcal{C}_L$  is regular, this implies that  $\mathcal{C}_{L+1}$  is also regular.

Suppose that  $|\mathcal{C}_{L+1}| > |\mathcal{C}_L|$ . Then, there exists  $r$  in  $\mathcal{C}_L$  such that the two elements  $r \circ 0$  and  $r \circ 1$  are in  $\mathcal{C}_{L+1}$ . Choose an integer  $h$  such that  $2^h > L + 1$  and let  $w$  be the word consisting of  $h$  zeroes. By the above argument, we have

$$\delta_{L+1}(r \circ 0, w) = \delta_{L+1}(r \circ 1, w),$$

and we denote this element by  $s$ . Since  $\mathcal{C}_L$  is regular, there exists an integer  $K$  such that for any  $k \geq K$ , there is a word  $w_k$  of length  $k$  satisfying

$$\delta_L(\sigma_L(s), w_k) = r;$$

thus  $\delta_{L+1}(s, w_k)$  is either  $r \circ 0$  or  $r \circ 1$ . But in either case, we have  $\delta_{L+1}(s, w_k w) = s$ , so that for any  $\ell \geq K + h$  there is a path of length  $\ell$  which connects  $s$  to  $s$ . Since  $\mathcal{C}_{L+1}$  is an equivalent class, any element  $u$  can be connected to any element  $v$  by a path of length exactly  $2|\mathcal{C}_{L+1}| + K + h$ , which implies that  $\mathcal{C}_{L+1}$  is regular. Theorem 1 is proved. □

*Proof of Corollary 1.* Since the graph of  $\mathcal{A}(b_T)$  has unique class, say,  $\mathcal{C}_T$ , it is the final class. Choose an integer  $h$  such that  $2^h > T$ . Let  $w$  be a word consisting only  $h$  zeroes. Then  $\delta_T(r_{0,T}, w) = (\mathcal{M}(2^h), \mathcal{B}(2^h, T)) = r_h$ , say. Therefore there exists a word  $w'$  such that  $\delta_T(r_h, w') = r_{0,T}$ . Thus, we have

$$\delta_T(r_{0,T}, ww') = r_{0,T} \text{ with } |ww'| = K, \text{ say.}$$

Since it is an equivalent class, any element  $u$  can be connected to any other element  $v$  by a path of length  $K + 2|\mathcal{C}_T|$ . Hence it is regular. Therefore, by Theorem 1, we get the corollary.  $\square$

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